



DATE: August 7, 2013

TO: Honorable Mayor and City Councilmembers

FROM: Police Department

SUBJECT: **FY 2011 PORT SECURITY GRANT PROGRAM SUB-GRANTEE AGREEMENT**

SYNOPSIS

Staff and the Police and Fire Commission recommend that the City Council approve a sub-grantee agreement with the San Diego Unified Port District in the amount of \$80,000 from the FY 2011 Port Security Grant Program (PSGP) to fund equipment for the Oceanside Police Department (OPD) Harbor Unit; appropriate the funds and authorize the City Manager or designee to execute the agreement.

BACKGROUND

The PSGP is one of five grant programs funded by the Department of Homeland Security (DHS) with focus on transportation infrastructure security activities. Stated by DHS, the purpose of the grant is to implement Area Maritime Transportation Security Plans and facility security plans among port authorities, facility operators, and state and local government agencies required to provide port security services. The FY 2011 PSGP performance period is from September 1, 2011 to August 31, 2014.

During FY 2011, the San Diego Unified Port District (SDPD) received an award letter approving their proposed project costs amount of \$3,063,888. In April of 2013, OPD's Harbor Unit was notified of the grant program and allowed to apply for funding as a sub-grantee of SDPD. In June of 2013, SDPD approved OPD's proposal of up to \$80,000 to fund equipment for the Harbor Unit, which will improve the response capabilities of OPD to the surrounding maritime in the event of a disaster.

ANALYSIS

The PSGP will fund the purchase of new Marine firefighting PPE – turnouts, self-contained breathing apparatus, 800 MHz radios, and AIS for vessels, which will increase the response capabilities and ensure communications interoperability of OPD with local, state and federal partners in the region, in the case of a natural or manmade disaster, or terrorist attack involving the maritime environment or ports of entry.

FISCAL IMPACT

Acceptance and appropriation of the grant funds in the amount of \$80,000 will allow the purchase of equipment without impacting the General Fund. Grant equipment and other related costs will be reimbursed by SDPD. There is no match required under the grant. All expenditures will be charged to the FY11 Port Security Grant Program account 817132600273.5330.

The budget is broken down as follows:

Item	Computation	Cost
Fire – Lion Turnout Coat	(10) x \$873	\$ 8,730.00
Fire – Lion Turnout Pants	(10) x \$554	\$ 5,540.00
Fire – Lion suspenders	(10) x \$28	\$ 280.00
Fire – Phenix Helmets	(10) x \$318.25	\$ 3,182.50
Fire – ProTec Gloves	(10) x \$52	\$ 520.00
Fire – Thorogood Boots	(10) x \$120	\$ 1,200.00
Fire – Nomex Hoods	(10) x \$25	\$ 250.00
Fire – Tax (PPE/Turnouts)		\$ 1,576.20
Fire – Self-contained Breathing Apparatus	(7) \$3,490.31	\$ 24,432.17
Fire – SCBA Cylinders	(7) \$588	\$ 4,116.00
Fire – Tax (SCBA's)		\$ 2,283.85
L3 'Blue Force Tracker'	(1) x \$9,555.68	\$ 9,555.68
Motorola 800 mHz Radio	(2) x \$3,297.34	\$ 6,594.68
Installation	(2) x \$700	\$ 1,400.00
Tax		\$ 5,572.89
	Total	\$ 75,233.97

When received, the reimbursement funds will be deposited in the FY11 Port Security Grant Program revenue account 817132600273.4376

COMMISSION OR COMMITTEE REPORT

The Police and Fire Commission reviewed this matter at its regular quarterly meeting on July 18, 2013, and recommended City Council approval of staff recommendations.

CITY ATTORNEY'S ANALYSIS

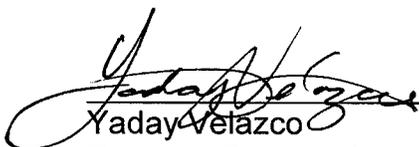
The referenced documents have been reviewed by the City Attorney and approved as to form.

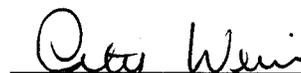
RECOMMENDATION

Staff and the Police and Fire Commission recommend that the City Council approve a sub-grantee agreement with the San Diego Unified Port District in the amount of \$80,000 from the FY 2011 Port Security Grant Program (PSGP) to fund equipment for the Oceanside Police Department (OPD) Harbor Unit; appropriate the funds and authorize the City Manager or designee to execute the agreement.

PREPARED BY:

SUBMITTED BY:


Yaday Velazco
Program Specialist


Peter A. Weiss
City Manager

REVIEWED BY:

Michelle Skaggs Lawrence, Deputy City Manager
Frank S. McCoy, Chief of Police
Michael Blazenski, Interim Financial Services Director



EXHIBITS/ATTACHMENTS

Attachment A – Sub-Grantee Agreement

Attachment B – Exhibit A: SDPD's FY 2011 Port Security Grant Award

Attachment C – Exhibit B: Investment Justification/Project Budget Detail Worksheet

FY 2011 PORT SECURITY GRANT PROGRAM
SUB-GRANTEE AGREEMENT

Between

SAN DIEGO UNIFIED PORT DISTRICT

As Fiduciary Agent

And

Oceanside Police Department

(Sub-grantee)

This Agreement establishes the terms and conditions under which the San Diego Unified Port District as Fiduciary Agent shall award to Sub-grantee an allocation of funds from the FY 2011 Port Security Grant Program:

- Fiscal Year: *2011 Port Security Grant Program*
- Award Number: *EMW-2011-PU-00052 (Exhibit A)*

In accordance with the FEMA approved Investment Justification (Exhibit B) and Budget Detail (Exhibit C), in the following amounts:

Project Name: Marine Firefighting PPE – turnouts, self-contained breathing apparatus, 800 MHz radios, and AIS for vessels
Approved Federal Funding: \$80,000
Cost Match: \$0
Total Project Cost: \$80,000

GUIDANCE

DHS PSGP Guidance: All allocations and uses of the funds under this grant will be in accordance with the applicable fiscal year FY 2011 Port Security Grant Program Guidance and Application Kit.

Financial Management Guide: This Guide, developed by DHS's Office of Grants & Training/Office of Grant Operations (OGO) dated January 2006, shall serve as the primary reference and day-to-day management tool for Sub-grantees in all financial management and grant administration matters. This guide is intended to be used in conjunction with the provisions of the CFRs and OMB Circulars, program guidelines, application kits, terms and conditions, G&T information bulletins, and DHS policy, regulations and statutes.

Federal Grant Guidance: The Sub-grantee shall comply with the most recent version of the

Administrative Requirements, Cost Principles, and Audit Requirements. A non-exclusive list of regulations commonly applicable to DHS grants are listed below:

Type of Recipient or Sub recipient	Administrative Requirements	Cost Principles*	Audit Requirements***
Local Government	44 CFR Part 13 (OMB Circular A-102)	2 CFR Part 225 (OMB Circular A-87)	OMB Circular A-133
Non-Profit	2 CFR Part 215 (OMB Circular A-110)	2 CFR Part 230 (OMB Circular A-122)	OMB Circular A-133
Educational Institution	2 CFR Part 215 (OMB Circular A-110)	2 CFR Part 220 (OMB Circular A-21)	OMB Circular A-133
Commercial (For-Profit)	2 CFR Part 215** (OMB Circular A-110)	FAR 31.2	Government Auditing Standards, 1994 Revision (Yellow Book)
All Recipients / Sub recipients	Fiscal Year 2011, Port Security Grant Program, Program Guidance and Application Kit (Feb. 2008)		
	OGT/OGO Financial Management Guide (Jan. 2006)		
	Special Conditions of Federal Award 2011-GB-T8-K065		
	Certain FEMA Information Bulletins (IBs) which supersede and/or clarify the provisions of the Federal Award and/or the Program Guidance and Application Kit.		

* Reference 44 CFR §13.22b

** Reference 2 CFR §215.0(b)(4)

*** See OGO Financial Management Guide pgs. 38 & 43, and pg. I-5 of the PSGP FY-08 Guidance and Application Kit.

COSTS

Allowable Costs: Only costs identified as “allowable costs” and properly documented (receipts, time sheets, invoices, etc.) can be funded and/or satisfy the matching requirements under the Port Security Grant Program (PSGP).

Note: For each kind of recipient, there is a set of Federal principles for determining allowable costs. Allowability of costs shall be determined in accordance with the cost principles applicable to the entity incurring the costs. Thus:

- The allowability of costs incurred by State, local or federally-recognized Indian tribal governments is determined in accordance with the provisions of 2 CFR part 225, "Cost Principles for State, Local, and Indian Tribal Governments" (OMB Circular A-87).
- The allowability of costs incurred by non-profit organizations is determined in accordance with the provisions of 2 CFR part 230, "Cost Principles for Non-Profit Organizations" (OMB Circular A-122).
- The allowability of costs incurred by commercial organizations and those non-profit organizations is determined in accordance with the provisions of the Federal Acquisition Regulation (FAR) at 48 CFR part 31.

Unallowable Costs: Below is a non-exclusive list of projects and costs that are ineligible for funding and unallowable under the Port Security Grant Program:

- Projects in which Federal agencies are the primary beneficiary or that enhance Federal property;
- Projects involving training and exercises that do not meet MTSA standards and/or requirements set by MTSA or DHS;
- Projects that do not provide a compelling security benefit (e.g., primarily economic or safety vs. security);
- Projects that duplicate capabilities being provided by the Federal government (e.g., vessel traffic systems, etc.);
- Projects in which there are real or apparent conflicts of interest;
- Personnel costs (except for direct management and administration of the grant award, (i.e., preparation of mandatory post-award reports));
- Business operating expenses (certain security-related operational and maintenance costs are allowable. See "Specific Guidance on Security Operational and Maintenance Costs" of the FY 2011 Port Security Grant Program Guidance and Application Kit for further guidance on exceptions);
- Reimbursement of pre-award security expenses;
- Weapons, including, but not limited to: firearms and ammunition, for outfitting facilities, vessels, or other structures;
- Outfitting facilities, vessels, or other structures with equipment or items providing a hospitality benefit rather than a direct security benefit. Examples of such equipment or items include, but are not limited to: office furniture, CD players, DVD players, AM/FM radios, etc;
- Commingling or adjustments of funds or projects from previous rounds of port security grant programs; and
- Signage, projects for plaarding and billboards, or hard fixed structure signage

OPERATIONAL PROCEDURES

FEMA Project Reviews: The grantee is prohibited from obligating, expending or drawing down funds provided through this award until:

- A Budget Review is completed and approved by the Grants Management Division (GMD) and an official notice has been issued removing this special condition.
- All applicable programmatic documents are provided to and approved by both the program office and by a DHS/FEMA Environmental and Historic Preservation review and an official notice has been issued removing this special condition.

Project Implementation: Sub-grantee agrees to begin implementing their project(s) as soon as practicable after receiving notification of FEMA approval from the Fiduciary Agent. Sub-grantees shall make every attempt to complete projects within the 36 month award/project period.

Award/Project Period: Sub-grantee understands that the awarding of this grant in no way assures or implies continuation of funding beyond the award period. Sub-grantee must closely track project progress and request an extension for the project, if necessary. Unless the Sub-grantee is granted an extension, any unobligated funds will be deobligated by DHS at the end of this period.

Extensions: Extensions to the period of performance will be considered only through formal requests to FEMA with 'specific and compelling justifications as to why an extension is required'. Sub-grantees shall route their request for extensions to FEMA through San Diego Unified Port District no later than 3 months prior to the end of the project period.

Grant Adjustments: The Sub-grantee must obtain prior written approval from the AMSC and FEMA for major project changes. These include, but are not limited to: (a) changes of substance in project activities, designs, or research plans set forth in the approved application; (b) changes or deviations which might alter the project scope or intent; and (c) changes in the approved budget.

Change of Project Manager: The Sub-grantee shall promptly notify San Diego Unified Port District in the event that a different project manager is assigned to a project and also of any developments that have a significant impact on award-supported activities.

FINANCIAL MANAGEMENT

Accounting Requirements: The Sub-grantee agrees to record all project costs, both federal and matching share, following generally accepted accounting procedures. Sub-grantees must have adequate accounting systems in place in order to separate all project costs from other or general expenditures.

Documentation: Adequate documentation for all project costs, both federal and matching share must be maintained in order to obtain reimbursement. Federal share documentation must clearly indicate that the funds expended were the **FY11 PSGP federal funds**.

Adequate documentation includes:

(a). **Personnel**: Position and name of employee, annual salary rate, percentage of time spent on the project and a brief description of the service(s) provided.

Note: Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization. Personnel costs are only allowable for direct management and administration (M&A) of the grant and may not exceed 2.5% of the total project cost.

(b). **Travel**: Detailed documentation, to include date, destination, reason for travel, and itemization of expenses. Receipts for parking, registration, etc., and lodging are required. For training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit costs involved.

(c). **Supplies and Equipment**: Receipts that clearly identify the item(s) and the cost of each item. If not clear on the receipt, attach documentation that lists the item(s) and amount paid for each item. Sub-grantees must maintain a current inventory of all project equipment.

(d). **Contractual Services**:

- **Individual Consultant Fees**: The consultant name, service provided, hourly or daily fee (8-hour day), and time spent on the project.
- **Consultant Expenses**: Receipts must be provided for all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging, etc.).
- **Organizations performing professional services**: Documentation must include a detailed billing indicating services performed or products delivered.
- **Sole source contracts** in excess of \$100,000 must be accompanied with a sole source justification.

Financial and Compliance Audit Report: Recipients that expend \$500,000 or more in Federal awards in their fiscal year shall have an organization-wide financial and compliance audit report conducted for that year. The audit must be performed in accordance with the U.S. General Accountability Office, *Government Auditing Standards*, located at: <http://www.gao.gov/govaud/ybk01.htm>, and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, located at: <http://www.whitehouse.gov/omb/circulars/a133/a133.html>.

Conflict of Interest: When an audit is required by OMB A-133, organizations shall have financial and compliance audits conducted by qualified individuals who are organizationally, personally, and externally independent from those who authorize the expenditure of Federal funds to ensure that there is no conflict of interest or appearance of conflict of interest.

Distribution of Audit Reports: Audit reports (for recipients that expend \$500,000 or more) are currently due to the Federal Audit Clearinghouse no later than nine months after the end of the recipient's fiscal year. The Sub-grantee agrees to submit a copy of the project's annual audit to JMTX, as well as submitting required audit reports as follows:

State and Local Governments, Institutions of Higher Education, and Non-Profit Institutions - All completed audit reports for State and local governments, institutions of higher education, and non-profit institutions should be mailed to the Federal Audit Clearinghouse, Bureau of the Census, 1201 East 10th Street, Jeffersonville, IN 47132.

Commercial Organizations and Individuals - One copy of all audit reports for commercial organizations and individuals should be mailed to the Department of Homeland Security, Office of Grants and Training, Office of Grant Operations, 810 7th Street NW, Washington, DC 20531.

Other Audits: For those organizations not subject to the OMB A-133 requirements (those who expend less than \$500,000), records must still be available and complete for review or audit by appropriate officials or representatives of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Resolve Audit findings: In the event that the Sub-grantee is audited, the Sub-grantee agrees to take corrective action to resolve any audit findings.

Monitoring: Sub-grantee agrees to allow the San Diego Unified Port District reasonable access to the grant project for the purposes of monitoring programmatic progress and the financial and business management aspects of the grant award to ensure that project objectives are met and funds are spent and accounted for properly. Access must include inspection of financial and program reports, site-visits, teleconferences and/or such other means necessary for the Fiduciary Agent to carry out its monitoring obligations.

Program Income: All program income generated by this grant during the project period must be reported to the Fiduciary Agent following the month earned and must be used to supplement project costs or reduce project costs, used to finance the non-Federal share of the project or refunded to the Federal government, in accordance with OMB regulations.

Non-Supplanting Stipulation: The Sub-grantee shall not use grant funds to supplant state or local funds or other resources that would otherwise have been made available for this project.

REPORTS

Semi-Annual Assistance Progress Report (SAPR): Upon receiving FEMA approval for projects, Sub-grantees will be responsible for completing and submitting semi-annual reports on the performance and progress of grant activities. The SAPR is due to the San Diego Unified Port District within 15 days after the end of the reporting period (July 15 for the reporting period of January 1 through June 30, and on January 15 for the reporting period of July 1 through December 31). Future award reimbursements may be withheld if these reports are delinquent. The final SAPR is due to the San Diego Unified Port District no later than 2 months after the end date of the award period. SAPRs should address performance measures and activities as described in the Investment Justification(s).

Report Requirements: The Sub-grantee agrees to submit, at such times and in such form as may be prescribed, any additional reports as the San Diego Unified Port District may reasonably require.

REIMBURSEMENT

Payment and Utilization of Funds: Funds awarded are to be expended only for purposes and activities covered by the Sub-grantee's approved Investment Justification and Budget. Federal and Matching funds may only be expended for 'allowable costs', as defined in the Port Security Grant Program Guidance and applicable OMBs. No payment of funds shall be made to the Sub-grantee during any period of time within which Sub-grantee is in default on filing any informational or financial reports required by the Fiduciary Agent. Payments will be adjusted to correct previous overpayment and disallowances or underpayments resulting from audits.

Reimbursement Procedures: The Sub-grantee agrees that this is a reimbursement based system. All requests for reimbursement must reflect actual costs that have been disbursed and services/items received by the Sub-grantee. All claims for reimbursement must be accompanied with copies of all supporting documentation (i.e. time sheets, proof of payment, travel vouchers, invoices, etc.). Claims for reimbursement should be submitted as incurred.

Federal and Matching Reimbursement: Upon payment by FEMA, the San Diego Port District will reimburse the non-matching portion of the expense.

Small Purchase Threshold: Reimbursement for any invoices against a contract that exceeds the small purchase threshold of \$100,000 must be signed verifying that the goods and/or services have been received and are in working order.

Rejection of Payment: The following are examples of causes for rejection of payment requests, and are not all inclusive:

- (a) Expense is not an allowable cost of the Port Security Grant Program.
- (b) Item or service could not be verified to exist and/or operate when requested.
- (c) Requested supporting documentation such as receipts was not supplied.
- (d) The federal funding in the FEMA approved budget for the project is fully expended.
- (e) A required progress report, or SAPR, was not submitted to the San Diego Unified Port District.

TERMINATION OF GRANT

This grant may be terminated or fund payments suspended by the San Diego Unified Port District where there is a failure to comply with the terms and conditions outlined in this document or other grant related guidance. Upon such finding, the San Diego Unified Port District shall notify the Sub-grantee in writing to correct any deficiencies found. If said deficiencies are not corrected within twenty (20) days, the San Diego Unified Port District may suspend or cancel the grant application after furnishing written notice to the Sub-grantee.

CLOSEOUT

Sub-grantee shall submit, no later than 2 months after the end of the award period, financial, performance, and other reports (SAPRs) as required by the terms and conditions of the award.

Note: The San Diego Unified Port District is required to submit all Sub-grantee documents and reports to FEMA within 90 calendar days after the end of the award period. In order to comply with this, the San Diego Unified Port District requires that Sub-grantees submit all documentation and reports to the fiduciary agent no later than 2 months after the end of the award period, to allow time for fiduciary agent review, compilation and submission of all reports and documents.

GENERAL PROVISIONS

Environmental and Historic Preservation Requirements: Sub-grantee shall comply with all applicable Federal, State, and local environmental and historic preservation (EHP) requirements and shall provide any information requested by FEMA to ensure compliance with applicable laws. Failure of the Sub-grantee to meet Federal, State, and local EHP requirements and obtain applicable permits may jeopardize Federal funding.

Third Party Participation: Where the Sub-grantee enters into a contract with third parties, the San Diego Unified Port District shall not be obligated or liable for any breach of contract to any third party.

Failure to Enforce: It is agreed that the failure of San Diego Unified Port District to enforce the strict performance of any provision of this agreement, shall not eliminate the Sub-grantee's obligation to adhere to the conditions outlined in this Agreement.

Title to Property: Effective control and accountability must be maintained for all personal property. Sub-grantees must adequately safeguard all such property and must assure that it is used solely for authorized grant purposes.

Null and Void: In the event that any provision of this Agreement is determined to be null and void, all remaining provisions shall continue to be in full force and effect.

Eligibility: As a condition of eligibility, all PSGP applicants are required to be fully compliant with relevant Maritime Security Regulations (33 CFR parts 101-106). Any open or outstanding Notices of Violation (NOVs) that have not been resolved prior to the application submission deadline will result in the applicant being denied for consideration of FY 2011 PSGP funding.

SAN DIEGO UNIFIED PORT DISTRICT

**OCEANSIDE POLICE
DEPARTMENT**

Robert DeAngelis
Chief Financial Officer

Frank S. McCoy
Chief of Police

PORT ATTORNEY

EXHIBIT A

San Diego Unified Port District

FY 2011 Port Security Grant Award

Award Number: EMW-2011-PU-00052

FY 2011

Award Letter

U.S. Department of Homeland Security
Washington, D.C. 20472



Jeffrey McEntee
San Diego Unified Port District
3165 Pacific Highway
San Diego, CA 92101

Re: Grant No. EMW-2011-PU-00052

Dear Jeffrey McEntee:

Congratulations, on behalf of the Department of Homeland Security. Your grant application submitted under the FY 2011 Port Security Grant Program has been approved. The approved project costs amount to \$3,063,888.00 .

As part of your award package, you will find Grant Agreement Articles. Please make sure you read and understand the Articles as they outline the terms and conditions of your Grant award. Maintain a copy of these documents for your official file.

Before you request and receive any of the Federal Grant funds awarded to you, you must establish acceptance of the Grant and Grant Agreement Articles.

In order to establish acceptance of the Grant and Grant Agreement Articles, please follow these instructions:

Step 1: Please go on-line to the ND Grants system at <https://portal.fema.gov>. After logging in, you will see a subtitle Grants Management. Under this subtitle, you will see a link that says Award Package(s). Click this link to access your award packages. Click the Review Award Package link to review and accept the award package for your award. Please print your award package for your records.

Step 2: Please fill out and have your bank complete and sign the SF1199A, Direct Deposit Sign-up Form. The SF1199A should be sent directly from your financial institution to the FEMA Finance Center, via fax or mail to the Vendor Maintenance Office (see address below). The 1199A form will not be accepted unless it is received directly from the financial institution. Please pay careful attention to the instructions on the form.

FEMA Finance Center
Attn: Vendor Maintenance
P.O. Box 800
Berryville, VA 22611
Fax Number: (540) 542-5145

If you have any questions or concerns regarding the process to request your grant funds, please call 1-866-927-5646.

ELIZABETH HARMAN, Assistant Administrator Grant Programs Directorate

U.S. Department of Homeland Security
Washington, D.C. 20472



AGREEMENT ARTICLES
Port Security Grant Program

GRANTEE: San Diego Unified Port District
PROGRAM: Port Security Grant Program
AGREEMENT NUMBER: EMW-2011-PU-K00052-S01

TABLE OF CONTENTS

Article I	Financial Guidelines
Article II	Prohibition on Using Federal Funds
Article III	Compliance with Program Guidance
Article IV	Budget Review
Article V	Federal Financial Reports (SF-425) # Required Quarterly
Article VI	Cooperative Agreement # Federal Involvement
Article VII	Acceptance of Post Award Changes
Article VIII	Trafficking In Persons
Article IX	Classified Security Condition
Article X	Central Contractor Registration and Universal Identifier Requirements
Article XI	Reporting Subawards and Executive Compensation
Article XII	National Environmental Policy Act (NEPA)
Article XIII	Summary Description of Projects

Article I - Financial Guidelines

The recipient and any subrecipient shall comply with the most recent version of the Administrative Requirements, Cost Principles, and Audit Requirements. A non-exclusive list of regulations commonly applicable to FEMA grants are listed below:

A. Administrative Requirements

1. 44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
2. 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110)
3. 44 CFR part 10, Environmental Considerations

B. Cost Principles

1. 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87)
2. 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21)
3. 2 CFR Part 230, Cost Principles for Non-Profit Organizations (OMB Circular A-122)
4. 48 CFR 31.2, Federal Acquisition Regulations (FAR), Contracts with Commercial Organizations

C. Audit Requirements

1. OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations

Article II - Prohibition on Using Federal Funds

The recipient understands and agrees that it cannot use any Federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of FEMA.

Article III - Compliance with Program Guidance

The recipient agrees that all allocations and use of funds under this grant will be in accordance with the Port Security Grant Program guidance and application kit.

Article IV - Budget Review

The recipient is prohibited from obligating, expending or drawing down funds provided through this award until the required budget and budget narrative are approved by FEMA and this condition is rescinded.

Article V - Federal Financial Reports (SF-425) # Required Quarterly

The recipient shall submit the Federal Financial Report (FFR, SF-425) within 30 days of the end of the first Federal quarter following the initial grant award. The recipient shall submit quarterly FFRs thereafter until the grant ends. Reports are due on January 30, April 30, July 30, and October 30. A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period.

Article VI - Cooperative Agreement # Federal Involvement

Program authority and responsibility under this cooperative agreement resides with FEMA. FEMA will work with the recipient to review and refine work plans to ensure program goals and objectives can be effectively accomplished.

The recipient shall not develop or engage in the development of tasks not approved in recipient's application without post-award approval from the program office, and the issuance of a Grant Amendment from FEMA. FEMA will monitor the project on a continual basis by maintaining ongoing contact with the recipient and will provide input to the program's direction, in consultation with the recipient, as needed.

Article VII - Acceptance of Post Award Changes

In the event FEMA determines that changes are necessary to the award document after an award has been made, including changes to period of performance or terms and conditions, recipients will be notified of the changes in writing. Once notification has been made, any subsequent request for funds will indicate recipient acceptance of the changes to the award. Please call the FEMA/GMD Call Center at (866) 927-5646 or via e-mail to ASK-GMD@dhs.gov if you have any questions.

Article VIII - Trafficking In Persons.

A. Provisions applicable to a recipient that is a private entity.

1. You as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not:
 - a. Engage in severe forms of trafficking in persons during the period of time that the award is in effect;
 - b. Procure a commercial sex act during the period of time that the award is in effect; or
 - c. Use forced labor in the performance of the award or subawards under the award.

2. We as the Federal awarding agency may unilaterally terminate this award, without penalty, if you or a subrecipient that is a private entity:
 - a. Is determined to have violated a prohibition in paragraph A.1 of this award term; or
 - b. Has an employee who is determined by the agency official authorized to terminate the award to have violated a prohibition in paragraph A.1 of this award term through conduct that is either:
 - i. Associated with performance under this award; or
 - ii. Imputed to you or the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR Part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement)," as implemented by our agency at 2 CFR Part 3000.

- B. Provisions applicable to a recipient other than a private entity. We as the Federal awarding agency may unilaterally terminate this award, without penalty, if a subrecipient that is a private entity:
 1. Is determined to have violated an applicable prohibition in paragraph A.1 of this award term; or
 2. Has an employee who is determined by the agency official authorized to terminate the award to have violated an applicable prohibition in paragraph A.1 of this award term through conduct that is either:
 - a. Associated with performance under this award; or
 - b. Imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement)," as implemented by our agency at 2 CFR part 3000.

- C. Provisions applicable to any recipient.
 1. You must inform us immediately of any information you receive from any source alleging a violation of a prohibition in paragraph A.1 of this award term.
 2. Our right to terminate unilaterally that is described in paragraph A.2 or B of this section:
 - a. Implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 U.S.C. 7104(g)), and
 - b. Is in addition to all other remedies for noncompliance that are available to us under this award.
 3. You must include the requirements of paragraph A.1 of this award term in any subaward you make to a private entity.

- D. Definitions. For purposes of this award term:
 1. "Employee" means either:
 - a. An individual employed by you or a subrecipient who is engaged in the performance of the project or program under this award; or
 - b. Another person engaged in the performance of the project or program under this award and not compensated by you including, but not limited to, a volunteer or individual whose services are contributed by a third party as an in-kind contribution toward cost sharing or matching requirements.
 2. "Forced labor" means labor obtained by any of the following methods: the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.
 3. "Private entity" means:
 - a. Any entity other than a State, local government, Indian Tribe, or foreign public entity, as those terms are, defined in 2 CFR 175.25.
 - b. Includes:
 - i. A nonprofit organization, including any nonprofit institution of higher education, hospital, or tribal organization other than one included in the definition of Indian Tribe at 2 CFR 175.25(b).
 - ii. A for-profit organization.
 4. "Severe forms of trafficking in persons," "commercial sex act," and "coercion" have the meanings given at section 103 of the TVPA, as amended (22 U.S.C. 7102).

Article IX - Classified Security Condition

A. "Classified national security information," as defined in Executive Order (EO) 12958, as amended, means information that has been determined pursuant to EO 12958 or any predecessor order to require protection against unauthorized disclosure and is marked to indicate its classified status when in documentary form.

B. No funding under this award shall be used to support a contract, subaward, or other agreement for goods or services that will include access to classified national security information if the award recipient has not been approved for and has access to such information.

C. Where an award recipient has been approved for and has access to classified national security information, no funding under this award shall be used to support a contract, subaward, or other agreement for goods or services that will include access to classified national security information by the contractor, subawardee, or other entity without prior written approval from the DHS Office of Security, Industrial Security Program Branch (ISPB), or, an appropriate official within the Federal department or agency with whom the classified effort will be performed.

D. Such contracts, subawards, or other agreements shall be processed and administered in accordance with the DHS "Standard Operating Procedures, Classified Contracting by States and Local Entities," dated July 7, 2008; EOs 12829, 12958, 12968, as amended; the National Industrial Security Program Operating Manual (NISPOM); and/or other applicable implementing directives or instructions. All security requirement documents are located at: <http://www.dhs.gov/xopnbiz/grants/index.shtm>

E. Immediately upon determination by the award recipient that funding under this award will be used to support such a contract, subaward, or other agreement, and prior to execution of any actions to facilitate the acquisition of such a contract, subaward, or other agreement, the award recipient shall contact ISPB, or the applicable Federal department or agency, for approval and processing instructions.

DHS Office of Security ISPB contact information:

Telephone: 202-447-5346

Email: DD254AdministrativeSecurity@dhs.gov

Mail: Department of Homeland Security

Office of the Chief Security Officer

ATTN: ASD/Industrial Security Program Branch

Washington, D.C. 20528

Article X - Central Contractor Registration and Universal Identifier Requirements

A. Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that applicants and recipients review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers

If recipients are authorized to make subawards under this award, they:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the CCR Internet site (currently at <http://www.ccr.gov>).
2. Data Universal Numbering System (DUNS) number means the nine digit number established and assigned by Dun

and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at <http://fedgov.dnb.com/webform>).

3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C:
 - a. A Governmental organization, which is a State, local government, or Indian Tribe;
 - b. A foreign public entity;
 - c. A domestic or foreign nonprofit organization;
 - d. A domestic or foreign for-profit organization; and
 - e. A Federal agency, but only as a sub recipient under an award or subaward to a non-Federal entity.
4. Subaward:
 - a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
 - b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---.210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
 - c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.
5. Subrecipient means an entity that:
 - a. Receives a subaward from you under this award; and
 - b. Is accountable to you for the use of the Federal funds provided by the
 - c. subaward.

Article XI - Reporting Subawards and Executive Compensation

A. Reporting of first-tier subawards.

1. Applicability. Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).
2. Where and when to report.
 - a. You must report each obligating action described in paragraph a.1. of this award term to <http://www.fsrs.gov>.
 - b. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)
3. What to report. You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov> specify.

B. Reporting Total Compensation of Recipient Executives.

1. Applicability and what to report. You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if-
 - a. the total Federal funding authorized to date under this award is \$25,000 or more;
 - b. in the preceding fiscal year, you received-
 - i. 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
 - ii. \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
 - c. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)
2. Where and when to report. You must report executive total compensation described in paragraph b.1. of this award term:
 - a. As part of your registration profile at <http://www.ccr.gov>.
 - b. By the end of the month following the month in which this award is made, and annually thereafter.

C. Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if-
 - a. in the subrecipient's preceding fiscal year, the subrecipient received-
 - i. 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
 - ii. \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and
 - b. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)
2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:
 - a. To the recipient.
 - b. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

D. Exemptions

1. If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:
 - a. Subawards, and
 - b. The total compensation of the five most highly compensated executives of any subrecipient.

E. Definitions. For purposes of this award term:

1. Entity means all of the following, as defined in 2 CFR part 25:
 - a. A Governmental organization, which is a State, local government, or Indian tribe;
 - b. A foreign public entity;
 - c. A domestic or foreign nonprofit organization;
 - d. A domestic or foreign for-profit organization;
 - e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
2. Executive means officers, managing partners, or any other employees in management positions.
3. Subaward:
 - a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
 - b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. __ .210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
 - c. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.
4. Subrecipient means an entity that:
 - a. Receives a subaward from you (the recipient) under this award; and
 - b. Is accountable to you for the use of the Federal funds provided by the subaward.
5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
 - a. Salary and bonus.
 - b. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
 - c. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

- d. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- e. Above-market earnings on deferred compensation which is not tax-qualified.
- f. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

Article XII - National Environmental Policy Act (NEPA)

The recipient shall comply with all applicable Federal, State, and local environment and historic preservation (EHP) requirements and shall provide any information requested by FEMA to ensure compliance with applicable laws including: National Environmental Policy Act, National Historic Preservation Act, Endangered Species Act, and Executive Orders on Floodplains (11988), Wetlands (11990) and Environmental justice (12898). Failure of the recipient to meet Federal, State, and local EHP requirements and obtain applicable permits may jeopardize Federal funding. Recipient shall not undertake any project having the potential to impact EHP resources without the prior approval of FEMA, including but not limited to communications towers, physical security enhancements, new construction, and modifications to buildings that are 50 years old or greater. Recipient must comply with all conditions placed on the project as the result of the EHP review. Any change to the approved project scope of work will require re-evaluation for compliance with these EHP requirements. If ground disturbance activities occur during project implementation, the recipient must ensure monitoring of ground disturbance and if any potential archeological resources are discovered, the recipient will immediately cease construction in that area and notify FEMA and the appropriate State Historic Preservation Office. Any construction activities that have been initiated prior to the full environmental and historic preservation review could result in non-compliance finding. For your convenience, here is the screening form link: (The Screening Form is available at: (www.fema.gov/doc/government/grant/bulletins/info329_final_screening_memo.doc)). For these types of projects, grantees must complete the FEMA EHP Screening Form (OMB Number 1660-0115/FEMA Form 024-0-01) and submit it, with all supporting documentation, to the GPD EHP team at GPDEHPinfo@fema.dhs.gov for review. Grantees should submit the FEMA EHP Screening Form for each project as soon as possible upon receiving their grant award.

Article XIII - Summary Description of Projects

Funds are awarded for the implementation of projects supporting the approved Port Wide Risk Management/Mitigation Plan with a federal award amount of \$3,063,888.

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
 EMW-2011-PU-K00052- AMENDMENT952241453 ACTION W482936N
 S01 NO. AWARD

6. RECIPIENT NAME AND ADDRESS 7. ISSUING FEMA OFFICE AND ADDRESS 8. PAYMENT OFFICE AND ADDRESS
 San Diego Unified Port District 3165 Pacific Highway San Diego, CA, 92101
 Grant Operations 245 Murray Lane - Building 410, SW Washington DC, 20528-7000
 POC: 866-927-5646
 Financial Services Branch 500 C Street, S.W., Room 723 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER 10. NAME OF FEMA PROJECT COORDINATOR
 Jerine Rosato
 PHONE NO. (619) 725-6084
 Central Scheduling and Information Desk
 Phone: 800-368-6498
 Email: Askcsid@dhs.gov

11. EFFECTIVE DATE OF THIS ACTION 12. METHOD OF PAYMENT 13. ASSISTANCE ARRANGEMENT 14. PERFORMANCE PERIOD
 09/01/2011 PARS Cost Reimbursement
 From: 09/01/2011 To: 08/31/2014
 Budget Period From: 09/01/2011 To: 08/31/2014

15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE)	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
Port Security Grant Program	97.056	2011-SL-PSG-1000-4101-D:W482936N \$ 3,063,888.00	\$0.00	\$3,063,888.00	\$3,063,888.00	\$0.00
TOTALS			\$0.00	\$3,063,888.00	\$3,063,888.00	\$0.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

Port Security Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 N/A

DATE
 N/A

18. FEMA SIGNATORY OFFICIAL (Name and Title)

DATE
 09/06/2011

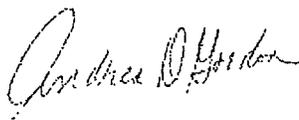
 ANDREA GORDON, Assistance Officer

EXHIBIT B

**FEMA Approved
FY 2011 Port Security Grant Program**

**Investment Justification/Project
Budget Detail Worksheet**

PSGP 2011 SAN DIEGO PORT AREA Investment Justification

Investment Heading	
Port Area	San Diego
Applicant Organization	Oceanside Police Department
Organization Contact	Sgt. Jeff Brandt
Investment Name	
Investment Amount	\$75,233.75

II. Strategic and Program Priorities

II.A. Provide a brief abstract of the Investment list just ONE investment.	
Response	(see cover letter/justification)

II.B. Describe how the Investment will address one or more of the PSGP priorities and Area Maritime Security Plan or COTP Priorities (how it corresponds with PRMP for Group I and II)	
Response	

III. Impact

III.A. Describe how the project offers the highest risk reduction potential at the least cost.	
Response	Utilizing regional assets minimizes cost and provides best coverage.

III.B. Describe current capabilities similar to this Investment	
Response	

IV. Funding & Implementation Plan

IV.A. Investment Funding Plan	FY 2011 PSGP Request Total	Match (Optional)	Grand Total
<i>Maritime Domain Awareness</i>			
<i>IED and CBRNE Prevention, Protection, Response and Recovery Capabilities</i>			
<i>Training</i>			
<i>Exercises</i>			
<i>TWIC Implementation</i>			
<i>Operational Packages (OPacks)</i>			
<i>M&A</i>			
Total			

IV.B. Provide a high-level timeline, milestones and dates, for the implementation of this Investment such as stakeholder engagement, planning, major acquisitions or purchases, training, exercises, and process/policy updates. Up to 10 milestones may be provided.	
Response	Immediate purchase – equipment available and in service.

Justification Statement:

The Oceanside Police Department's Harbor Unit (OPD) is the closest full-time Law Enforcement Agency outside of the Port of San Diego. Located 35 nautical miles to the North, OPD covers the northernmost coastline of San Diego County and the San Diego AOR. Through mutual aid requests, OPD has regularly responded to 38 miles of the North San Diego County Coastline including Camp Pendleton. For large scale operations, OPD has responded maritime assets to Mission Bay, the Port of San Diego, and all the way to the US/Mexican border. There are several High-Value Targets in close proximity to Oceanside including, but not limited to the San Onofre Nuclear Power Plant and the U.S. Marine Corps base at Camp Pendleton, which shares a harbor entrance with Oceanside.

In the event of a natural/manmade disaster or terrorist attack involving the maritime environment or ports of entry, Port of San Diego assets would likely be exhausted requiring additional outside resources to respond. In order to fully meet this need, OPD requires some additional equipment and equipment upgrades which are prioritized as follows:

Upgrading and supplementing OPD's equipment with the listed items will greatly increase the response capabilities as well as ensure communications interoperability of OPD with local, state and federal partners in the region. This supports FEMA's National Preparedness Goal as well as the stated Purpose of the FY11 Port Security Grant Program. Additionally, this will support Coast Guard Sector San Diego's Ports, Waterways, and Coastal Security mission (PWCS).

**PSGP 2011 SAN DIEGO PORT AREA
Budget Detail Worksheet**

Investment Name: **OCEANSIDE PD**
MARINE FIRE FIGHTING PPE - TURNOUTS, SELF-CONTAINED BREATHING & AIS FOR VESSELS

A. Personnel.

Name/Position	Computation	Cost
		\$
Total Personnel		\$

B. Fringe Benefits.

Name/Position	Computation	Cost
		\$
Total Fringe Benefits		\$

C. Travel.

Purpose of Travel	Location	Item	Computation	Cost
				\$
Total Travel				\$

D. Equipment.

Item	Computation	Cost
Fire – Lion Turnout Coat	(10) x \$873	\$ 8,730.00
Fire – Lion Turnout Pants	(10) x \$554	\$ 5,540.00
Fire – Lion Suspenders	(10) x \$28	\$ 280.00
Fire – Phenix Helmet	(10) x \$318.25	\$ 3,182.50
Fire – ProTec Gloves	(10) x \$52	\$ 520.00
Fire – Thorogood Boots	(10) x \$120	\$ 1,200.00
Fire – Nomex Hoods	(10) x \$25	\$ 250.00
Fire – Tax (PPE/Turnouts)		\$ 1,576.20
Fire – Self Contained Breathing Apparatus	(7) x \$3,490.31	\$ 24,432.17
Fire – SCBA Cylinders	(7) x \$588	\$ 4,116.00
Fire – Tax (SCBA's)		\$ 2,283.85
L3 'Blue Force Tracker'	(1) x \$9,555.68	\$ 9,555.68
Motorola 800 mHz Radio	(2) x \$3297.34	\$6594.68
Installation	(2)x \$700	\$1,400.00
Subtotal		\$69,661.08
Tax		\$5572.89
Total Equipment		\$75,233.97

E. Supplies.

Supply Items	Computation	Cost
		\$
Total Supplies		\$

F. Consultants/Contracts.

Name of Consultant	Service Provided	Computation	Cost
			\$
Subtotal – Consultant Fees			\$

Item	Location	Computation	Cost
			\$
Subtotal – Consultant Expenses			\$

Contract Item	Cost	
	\$	
Subtotal – Contracts		\$
Total Consultants/Contracts		\$

G. Other Costs.

Description	Computation	Cost
		\$
Total Other		\$

H. Indirect Costs.

Description	Computation	Cost
		\$
Total Indirect Costs		\$

Budget Summary

Budget Category	Federal Amount	Non-Federal Amount
A. Personnel	\$	\$
B. Fringe Benefits	\$	\$
C. Travel	\$	\$
D. Equipment	\$ 75,233.97	\$
E. Supplies	\$	\$
F. Consultants/Contracts	\$	\$
G. Other	\$	\$
H. Indirect Costs	\$	\$

Total Requested Federal Amount	Total Non-Federal Amount
\$ 75,233.97	\$
Combined Total Project Costs	
\$ 75,233.97	

DEPARTMENT OF HOMELAND SECURITY
FEDERAL EMERGENCY MANAGEMENT AGENCY
ENVIRONMENTAL AND HISTORIC PRESERVATION SCREENING FORM

Paperwork Burden Disclosure Notice

*Public reporting burden for this form is estimated to average 8 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472, Paperwork Reduction Project (1660- 0115) **NOTE: Do not send your completed form to this address.***

This form must be attached to all project information sent to the Grant Programs Directorate (GPD) to initiate an environmental and historic preservation (EHP) regulatory compliance review. Complete sections A – D of this form, as applicable; completion of this form does not conclude the EHP review process and FEMA may need to go back to you for further information. When questions are not applicable to the project, leave the field blank. This form is intended to be completed electronically. Refer to Appendix B (page 10) for guidance on how to make an aerial map (if required for your project), and refer to Appendix C (page 11) for a list of online resources to help you provide visual documentation. Contact GPD-EHP for a version of this form that is suitable for printing and completing by hand. To check (X) a box, left double-click using your mouse and a Check Box Form Field Box will appear. On the Check Box Form Field Box, select the default value as Checked and press OK. To write in a text field (____), select the text field with your mouse and begin typing.

Your completed screening form with necessary attachments must be submitted to the Centralized Scheduling and Information Desk (CSID) at askcsid@dhs.gov with the following information in the subject line of the e-mail: EHP Submission: Project Name, Subgrantee Name; Grant Award Number (i.e., EHP Submission: Camera Installation, Anytown Courthouse, 2010-AB-C1-2345).

A. PROJECT INFORMATION

Grant Program Name: -Port Security Grant Program DHS Grant Award Number: DHS-11-GPD-056-000-01

Project Name: _____ Grant Fiscal Year: 2011 (Provide, if no award number is available)

Project Type (Check all that apply): Physical security enhancements (i.e., installation of fencing, cameras, TWIC readers, bollards, motion detection systems, x-ray machines, lighting, etc.)

- New installation/construction/renovation (i.e., emergency operations centers, docks, piers, security guard buildings, etc.)
- Renovations/upgrades/modifications to structures 50 years old or older
- Communication towers, related equipment, and equipment shelters
- Other ground disturbing activities (i.e., trenching, excavation, etc.)
- Training and exercises (specify whether discussion-based or operations-based; operations-based only require further information below) _____
- Purchase of equipment (specify type, and whether mobile/ portable, or installation will be required) _____
- Other (specify) _____

Grantee Name (SAA): Port of San Diego Sub grantee Name: Oceanside Police Department
 State: California County: San Diego City: Oceanside Agency: Oceanside Police Department

Dollar value of grant (optional): _____

B. PROJECT DESCRIPTION/LOCATION

The following information will be required in order to complete a review for each project type. If multiple "project types" describe the same project, [i.e., physical security enhancements AND renovations of structures 50 years old or older AND other ground disturbing activities], it is not necessary to repeat information; please make a note to refer back to the previous entry.

Enter Project Description: (See justification statement and original cover letter)

The project description should contain a brief summary of what specific action is proposed, where it is proposed, and how it will be implemented. If this proposed project will be part of a larger project, please state the funding source. If the funding source is another GPD grant, please include the award number.

Project Type: **Physical security enhancements (i.e., installation of fencing, cameras, TWIC readers, bollards, motion detection systems, x-ray machines, lighting, etc.)**

Project Location (*physical project address or latitude/longitude of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Color site photos attached?

Ground-level site photos (showing where installations are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed installations identified (refer to Appendix B for guidance): Yes No

Will ground disturbance be required?

Yes (provide total extent (*depth, length, and width*) _____) No

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined Yes No

Will any equipment or structures need to be installed? Yes No

If yes, please explain how and where this installation is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Will the equipment use the existing infrastructure for electrical distribution systems?

Yes No

Are there any known structures or buildings that are 50 years old or older in the project area or immediate vicinity? (*If yes, please provide the location of the structure(s), ground-level color photos of these structures, and identify their location(s) on the aerial map; see Appendix C for the web address of the National Register of Historic Places*) Yes No

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*please attach documentation*) No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*please attach documentation*) No

Project Type: **New installation/construction/renovation (i.e., emergency operations centers, docks, piers, security guardhouse, etc.)**

Project Location (*physical project address or latitude/longitude of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Describe the setting of the area where the new installation/construction/renovation is proposed (i.e., urban, suburban, or rural; forested or open field): _____

Color site photos attached?

Ground-level site photos (showing where installations are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed installations identified (refer to Appendix B for guidance): Yes No

Will ground disturbance be required?

Yes (provide total extent (*depth, length, and width*)) _____ No

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined Yes No

Will any equipment or structures need to be installed? Yes No

If yes, please explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Are there any known structures or buildings that are 50 years old or older in the project area or immediate vicinity? (*If yes, please provide the location of the structure(s), ground-level color photos of these structures, and identify their location(s) on the aerial map; see Appendix C for the web address of the National Register of Historic Places*)? Yes No

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*please attach documentation*) No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*please attach documentation*) No

Project Type: **Renovations/upgrades/modifications to structures 50 years old or older**

Is the building or structure listed in the National Register of Historic Places, or has it previously been determined eligible for listing in the National Register of Historic Places? (*See Appendix C for the web address of the National Register of Historic Places*) Yes No Unknown

Project Location (*physical project address or latitude/longitude of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Color site photos attached?

Ground-level site photos (showing where installations are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed installations identified (refer to Appendix B for guidance): Yes No

Will ground disturbance be required?

Yes (provide total extent (*depth, length, and width*) _____) No

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined

Yes No

Will any equipment or structures need to be installed? Yes No

If yes, please explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Are there any known structures or buildings that are 50 years old or older in the project area or immediate vicinity? (*If yes, please provide the location of the structure(s), ground-level color photos of these structures, and identify their location(s) on the aerial map; see Appendix C for the web address of the National Register of Historic Places*) Yes No

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*please attach documentation*)? No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*please attach documentation*) No

Project Type: **Communication towers, related equipment, and equipment shelters**

Project Location (*physical project address or latitude/longitude and elevation above mean sea level of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Color site photos attached?

Ground-level site photos (showing where installations are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed installations identified (refer to Appendix B for guidance): Yes No

For projects involving antenna (e) installations on existing towers, provide the height of the existing tower _____ and the height of the tower following the installation of the new antenna (e) _____.

For new projects, state the total height (in feet) of the communication tower or structure, including any antennae to be mounted: _____

If the proposed tower height is greater than 199 feet above ground level, state why this is needed to meet the requirements of the project: _____

Will the tower be free-standing or require guy wires? Free standing Guy wires

If guy wires are required, state number of bands and how many: _____

State why a guyed tower is needed to meet the requirements of this project: _____

What kind of lighting will be installed, if any (e.g., white strobe, red strobe, or steady burning)? _____

Have measures been incorporated for minimizing impacts to migratory birds? Yes No

If yes, describe: _____

Has an FCC registration been obtained for this tower? Yes No Registration #: _____

Has the FCC E106 process been completed? Yes No

Has the FCC TCNS process been completed? Yes No If yes, attach all relevant environmental documentation submitted as part of the registration process, including use of the Tower Construction Notification System (TCNS), if applicable.

FRN# _____

Will ground disturbance be required?

Yes (provide total extent (*depth, length, and width*) _____ No

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined Yes No

Will any equipment or structures need to be installed? Yes No

If yes, explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Are there any known structures or buildings that are 50 years old or older in the project area or immediate vicinity? (*If yes, provide the location of the structure(s), ground-level color photos of these structures, and identify their location(s) on the aerial map; see Appendix C for the web address of the National Register of Historic Places*) Yes No

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*attach documentation*) No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*attach documentation*) No

Will equipment be co-located on existing FCC licensed tower or other structure? Yes No

If yes, type of structure: _____

If no, please complete Appendix A.

Project Type: **Other ground disturbing activities (i.e., trenching, excavation, fiber optics, etc.)**

Project Location (*physical project address or latitude/longitude of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Color site photographs and maps attached?

Ground-level site photos (showing where ground disturbance are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed ground disturbance identified (refer to Appendix B for guidance): Yes No

What type of ground disturbance is needed and why (*i.e., utility trenching, etc.*)? _____

Provide the total extent of ground disturbance required (*depth, length, and width*): _____

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial

development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined Yes No

Will any equipment or structures need to be installed? Yes No

If yes, please explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Are there any known structures or buildings that are 50 years old or older in the project area or immediate vicinity? (*If yes, provide the location of the structure(s), ground-level color photos of these structures, and identify their location(s) on the aerial map; see Appendix C for the web address of the National Register of Historic Places*) Yes No

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*please attach documentation*) No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*please attach documentation*) No

Project Type: **Training and Exercises (field-based only)**

If the training is discussion-based or an operations-based functional exercise, then no further information is required. If the training is operations-based, then provide the following:

Will the operations-based training take place at an existing facility having established procedures for that particular proposed exercise, and that conform with existing land use designations (refer to Information Bulletin #329 Clarification for further information)? Yes No

If yes, please provide the name and location of the facility: _____

Does the training exercise differ in any way (frequency, amount of facilities/land used, materials or equipment used, number of participants, type of activities, etc.) from previously permitted training exercises and training practices? Yes No

If yes, explain any differences between the proposed activity and those that have been approved in the past, and the reason(s) for the change in scope. _____

If yes, the operations-based training is taking place at an existing facility (as described above), no further information is required. If the operations-based training is not occurring at an existing facility, provide the following:

Project Location (*physical project address or latitude/longitude of project location*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

Will ground disturbance be required to prepare the training site? Yes No

If yes, give total extent (*depth, length, and width*), and provide visual documentation: _____

Color site photos attached?

Ground-level site photos (showing where installations are proposed): Yes No

Aerial photograph with project limits outlined and with the location of any proposed installations identified (refer to Appendix B for guidance): Yes No

Has the ground been previously disturbed? Yes No

If yes, please describe the current disturbed condition of the area (i.e., parking lot, commercial development, etc.): _____

If no, include other visual documentation (*see Appendix C for a list of online mapping resources*):

Technical drawings/site plans (if available) Yes No

FEMA Flood Insurance Rate Map (FIRM), with project limits outlined Yes No

U.S. Fish and Wildlife Service, National Wetlands Inventory (NWI) Map, with project limits outlined Yes No

U.S. Department of Agriculture (USDA) Soil Survey Map, with project limits outlined Yes No

Will any equipment or structures need to be installed to facilitate training? Yes No

If yes, explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Describe the scope of the proposed training (purpose, frequency, facilities/location needed, materials and equipment needed, number of participants, and type of activities required) (*Attach additional pages, if needed*): _____

Is there any *previously* completed environmental documentation for this project (i.e., environmental assessment, wetland delineation, archaeological study)? Yes (*please attach documentation*) No

Is there any *previously* completed agency coordination for this project (i.e., correspondence with the U.S. Fish and Wildlife Service, State Historic Preservation Office, Tribal Historic Preservation Office)?

Yes (*please attach relevant documentation*) No

Project Type: Purchase of equipment (specify what equipment, and the quantity; for generators, please state the capacity) _____

Will any equipment need to be installed? Yes No

If yes, please explain how and where this is proposed to be done (*include site-specific photographs, and attach additional pages, if needed*): _____

Year existing building(s) or structure(s) involved in the proposed project was built: _____

C. CONSIDERATION OF RESOURCE IMPACTS

When completing this section, state a specific reason [i.e., “there will be no impacts to geology and soils because this project will not involve any ground disturbance”] and cite a source [i.e., local master plan, previous environmental assessment, correspondence with US Fish and Wildlife Service, correspondence with State Historic Preservation Office, FEMA Floodplain Insurance Rate Map (FIRM), etc.] to support a response of “no impact” or “potential to impact.” *This section is not required for the purchase of mobile and portable equipment or classroom-based training, or field exercises to be conducted at an existing facility having established procedures for that particular proposed exercise.*

Identify potential impacts to the following resources	No Impact	Potential to Impact	Reason/ Data Source/Agency
Noise			
Air quality			
Water resources, including surface water, groundwater, wetlands, coastal areas, and floodplains			
Geology and soil resources, including prime and unique farmlands and hydric soils			
Biological resources, including general vegetation, wildlife, wildlife habitat, migratory birds, and wetland habitat			
Threatened and endangered species and critical habitat			
Cultural resources, including architectural resources, archaeological resources, and Traditional Cultural Properties			
Buildings or structures 50 years old or older			
Socioeconomic resources, including economic development, demographics, and demand for housing and public services			
Environmental justice (minority and low-income populations)			
Aesthetics and visual resources			
Human health and safety			
Infrastructure, utilities, transportation and waste mgt.			
Land use planning and zoning			
Hazardous waste/contamination			
Community facilities and services			

D. OTHER INFORMATION (*answer the following questions/provide requested information.*)

Are personnel preparing this form familiar with the site? Yes No

Did personnel visit site? Yes No

Is this project one component of a larger proposed project? Yes No

If yes, please provide a description of the entire project, including funding sources (i.e., state funds, fund from another Federal agency, etc.) and, the award number, if the funding source is another GPD grant program. _____

Is the project part of an approved plan such as a Master Plan or an Implementation Plan or any larger action with an accompanying National Environmental Policy Act (NEPA) document?

Yes (*provide the plan name, and include a copy of the NEPA document*) No

Is the project still consistent with the approved plan? Yes No

(If no, additional EHP compliance requirements may apply.)

Is the environmental document accurate and up-to-date? Yes No

(If no, additional EHP compliance requirements may apply.)

What was the decision of the NEPA document? (*Check one, and please attach*):

Finding of No Significant Impact (FONSI) OR

Record of Decision (ROD)

Agency Name _____ Date approved _____

Appendix A. Tower Impacts to Migratory Birds

If proposed towers or antennae are not proposed to be co-located with an existing licensed FCC tower or structure, please provide the following information:

A general description of terrain – mountainous, rolling hills, flat to undulating, etc.: _____

Describe the frequency and seasonality of fog/low cloud cover: _____

Provide a list of habitat types and land use on and adjacent to the site (within 800 m), by acreage and percentage of total (e.g., woodland conifer forest, grassland, agriculture, waterbody, marsh): _____

Is there evidence of bird roosts or rookeries present within 800 m of the proposed site? Yes No

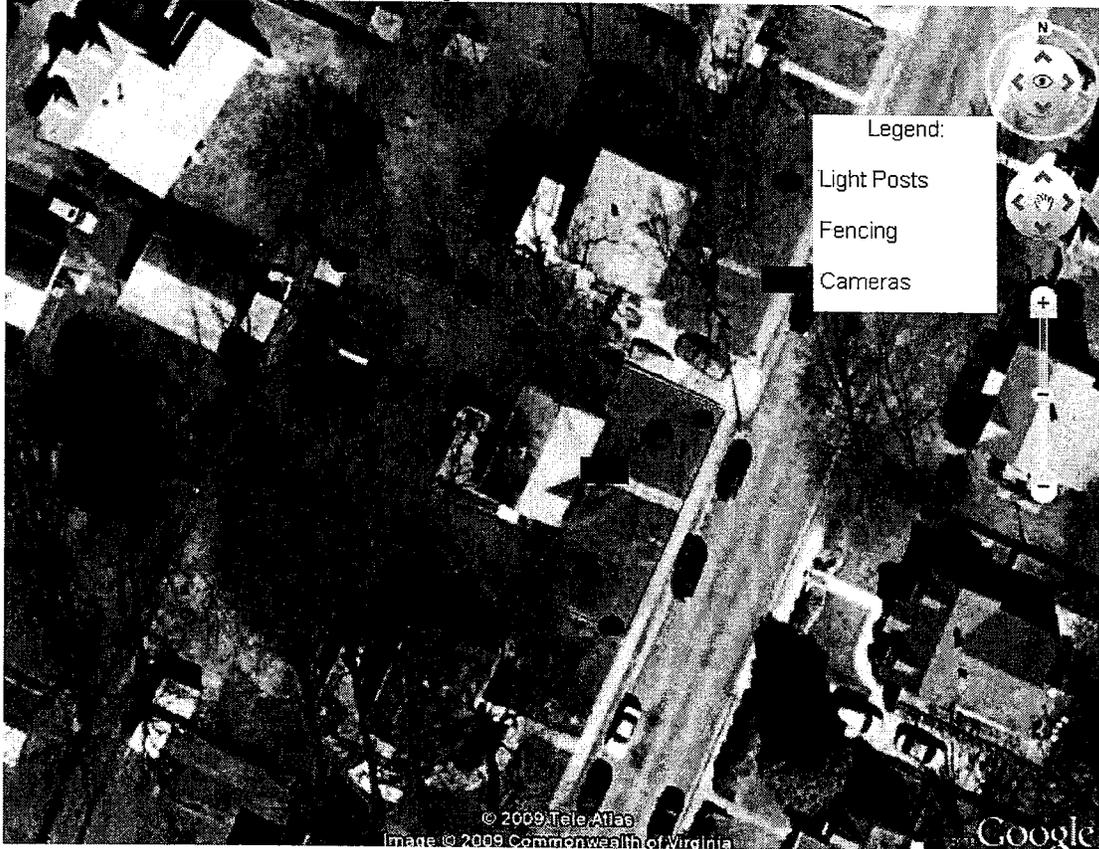
If yes, describe: _____

Distance to nearest wetland area (forested swamp, marsh, riparian, marine, etc.) and coastline, if applicable: _____

Distance to nearest telecommunication tower: _____

Appendix B. Aerial Photography Guidance

1. Obtain aerial photo; there are multiple online sources for aerial photos that are either free or request a nominal fee.
2. Copy the aerial image.
3. Open Microsoft Paint, Power Point, or other graphics-oriented software and paste the aerial image on the canvas.
4. Using drawing tools such as line drawing, shapes, and fill colors, label exactly where facility security enhancements will be installed i.e. fencing, lighting, cameras.
5. Cut and paste completed drawing into Word document and explain details of the facility security enhancements.
6. An example of a completed product is provided below.



Applicant Name: X Bus Company
Grant Program: FY 2008 Intercity Bus Security Grant Program
Grant Number: 2008-XX-XX-0000

Project Description: Facility Security Enhancements was awarded to X Bus Company in January 2009. Above are the enhancements that we wish to make to our facility. One camera will be installed. The building that the camera will be mounted on was built in 1975. The installation will include 6,412 linear feet of chain link security fencing. A total of 4 light posts will be installed. The light posts holes will cause 12" in diameter and 36" deep of ground disturbance.

If there are known historic resources (buildings, structures, districts, sites, etc.) within sight of the facility, indicate their location on the aerial photograph also. The National Register of Historic Places can be reviewed at: <http://nrhp.focus.nps.gov/natreghome.do?searchtype=natreghome>.

Appendix C. Online Mapping and Information Resources

National Register of Historic Places:

<http://nrhp.focus.nps.gov/natreghome.do?searchtype=natreghome>

FEMA's Flood Insurance Rate Maps (FIRMs):

<http://www.fema.gov/hazard/map/firm.shtm>

National Wetlands Inventory:

<http://www.fws.gov/wetlands/Data/Mapper.html>

USDA Soil Survey Map:

<http://websoilsurvey.nrcs.usda.gov/app/HomePage.htm>