ITEM NO. 17

STAFF REPORT

CITY OF OCEANSIDE

DATE: December 18, 2013

TO: Honorable Mayor and City Councilmembers

FROM: City Treasurer's Office

SUBJECT: **PRESENTATION OF TREASURER'S REPORT – QUARTER ENDED SEPTEMBER 30, 2013**

SYNOPSIS

Staff recommends that the City Council accept the Treasurer's Report for the quarter ended September 30, 2013.

BACKGROUND

Since 1994, the City Treasurer has been making quarterly report presentations to City Council regarding the status of the investment portfolio. The last report was for the quarter and year ended June 30 2013.

ANALYSIS

The quarterly Treasurer's report is intended to provide an awareness of the activity and performance of the City's investment portfolio. The goal of the Treasurer's Office is to make investments in conformance with the investment policy adopted by the City Council.

REVIEW OF QUARTER ENDED SEPTEMBER 30, 2013

For the quarter and year ended September 30, 2013, the City's portfolio had a book value of $243,226,296.73 (book value is the cost of the security plus any amortization or accretion of premiums or discounts). The average yield to maturity of the portfolio was 0.911 percent which has generated fiscal year to date accrued earnings of $568,282.37.

The following table provides a rolling 12-month comparison of the portfolio by quarter:

City of Oceanside
Portfolio Comparison
Rolling 12-Month Quarterly Comparison

	Sept 30, 2013	June 30, 2013	Mar 31, 2013	Dec 31, 2012
Book Value	$243,226,286.73	$258,163,646.04	$225,700,173.61	$220,772,508.22
Market Value	$241,101,214.55	$255,191,173.12	$226,068,328.20	$221,350,727.71
Unrealized Gain/(Loss)	$(2,125,082.18)	$(2,972,472.92)	$368,154.59	$578,219.49
Unrealized Gain/(Loss) as a % of Book Value	(0.87%)	(1.16%)	0.16%	0.26%
Average Yield to Maturity	0.911%	0.867%	0.914%	0.893%
Liquidity 0 – 6 months	15.93%	20.87%	16.03%	21.33%
Average Days to Maturity	1,133 (3.10 years)	1,125 (3.08 years)	1,172 (3.21 years)	1,078 (2.95 years)
Quarterly Earnings	$568,282.37	$501,399.99	$514,788.70	$521,794.41
Qtr Capital Gain/(Loss)	$0.00	$0.00	$9,095.67	$0.00

The portfolio's book value declined by $14.9 million comparative to the end of the fourth quarter of Fiscal Year 2012-2013. This decline is expected during the first quarter of each fiscal year. From July through September, the City has greater cash disbursements than cash receipts and utilizes portfolio liquidity to bridge the gap. Yield to maturity increased slightly by 4 basis points (0.04 percent) in comparison to the previous quarter's end. The increase in yield to maturity is attributable to utilizing the City's Local Agency Investment Fund (LAIF) account balances (which are earning a very low interest rate) to fund the uneven cash flows experienced during the first fiscal quarter. Due to lower interest rates in the short-end of the yield curve, the portfolio market value improved; therefore unrealized losses decreased as compared to the prior quarter. The City will not realize any actual losses as long as the City's securities are held to maturity. Portfolio strategy continues to include the purchase of securities in 3-year maturity range in anticipation of the possibility of rising interest rates.

Bond market volatility continued into the first quarter of Fiscal Year 2013–2014, as market participants speculated over when the Federal Reserve would begin to taper asset purchases. Another factor contributing to the volatility in the market was uncertainty regarding Congressional resolve to handle the budget and debt ceiling issues by their respective deadlines in October. As a result of volatility, bond interest rates ended mixed comparative to the final quarter of Fiscal Year 2012-2013. Interest rates were lower in the 3–month to 3–year sectors of the curve (0.005 percent to 0.06 percent lower) and higher in the 1-month and 7 to 30-year sectors of the curve (.015 percent to 0.20 percent higher).

The minutes release from the July Federal Open Market Committee (FOMC) meeting revealed that the majority of the FOMC members agreed that a change in the asset purchase program was not appropriate at that time. The Committee also reiterated that

any changes to monetary policy would be driven by economic data, specifically, evidence of an unemployment rate of 6.5 percent and the GDP at 2.5 percent. The market was taken by surprise at the outcome of the September FOMC meeting. The market expected the FOMC to announce a schedule for the commencement of tapering asset purchases. Instead, the FOMC maintained its stance of economic data dependency to support any lifting of its current accommodative monetary policy.

FISCAL IMPACT

Gross fiscal year to date earnings were $568,282.37, which is a decrease of $48,017.19 from the same period last year (quarter ended September 2012). The City's General Fund has earned $345,705 fiscal year to date. The following table provides the earnings distribution as recorded in Fund 102, Interest Allocation (1102.4321.XXXX), grouped into the major fund categories.

INVESTMENT EARNINGS BY FUND
FISCAL YEAR 2013-2014 as of 9/30/13

Fund Name	FYTD Average Cash Balances	FYTD Investment Earnings
General Fund	81,908,496	144,594
Special Revenue Funds - Police	1,165,340	2,057
Special Revenue Funds - Library	5,096	9
Special Revenue Funds - Transportation	12,440,306	21,961
Lighting and Landscape Districts	1,646,376	2,906
Federal and State Grants	14,783	26
Housing Agency	7,612,559	13,439
Community Facilities Districts	2,482,896	4,383
General City Capital Improvement	3,065,075	5,411
Development Impact Fees	23,027,832	40,651
Municipal Golf Course Improvement	103,641	183
Water Funds	59,886,063	105,718
Sewer Funds	36,364,759	64,195
Airport Funds	368,194	650
Harbor Fund	3,736,215	6,596
Trust Funds	499,505	1,161
General Debt Service	2,042,276	3,605
Successor Agency	15,123,967	26,699
Banking and Investment Expenses		124,038
Interest Allocated through 9/30/13		**$ 568,282**
Earnings Allocation Adjustments		0
Year to Date Totals as of 9/30/13	**$ 251,493,379**	**$ 568,282**

The General Fund, in accordance with City Council Policy 200-11, includes cash balances from funds that do not have interest allocation restrictions. These funds are consolidated for purposes of calculating investment earnings. The following table provides the detail for the composition of the General Fund category from the previous table.

COMPOSITION OF GENERAL FUND
AVERAGE CASH BALANCES
FISCAL YEAR 2013-2014 as of 9/30/13

Fund Name	FYTD Average Cash Balances
General Fund	30,283,212
Grants	150,607
General Fund Capital Improvements	3,234,888
Solid Waste Fund	7,443,441
Internal Service Funds	37,693,769
Trust Funds	3,070,150
Payroll Fund	-
City Debt Service Funds	32,429
FYTD Average Cash Balances as of 9/30/13	**$ 81,908,496**

City banking and investment expenses are charged on a pro rata basis to all funds that have invested cash balances. Banking and investment expenses (account 200010102.5405), totaled $124,038 fiscal year to date as of September 30 2013. The following chart categorizes the allocated bank and investment charges through September 30, 2013, as well as provides a comparison to the same period last year (September 2012). Overall, bank and investment fees have increased by $35,010, primarily due to the increase in merchant service costs. In an effort to provide efficiencies through the use of technology, as well as provide convenience for customers, the City has expanded its on-line capabilities for payment of utility bills, business licenses and development related services. As a result, there has been an increase in credit card transactions which equates to higher merchant service costs.

BANKING AND INVESTMENT EXPENSES
FISCAL YEAR 2013-2014 YEAR TO DATE COMPARISON
AS OF SEPTEMBER 30, 2013

Expense Type	FYTD Expenses as of 9/30/13	FYTD Expenses as of 9/30/12
Banking Expenses		
Armored Carrier	5,537	6,830
Bank Fees	-	-
Bank Supplies	30	371
Check Stock	-	1,284
Merchant Services	105,681	67,969
Investment Expenses		
Bloomberg Services	7,971	7,895
Custody and Safekeeping	-	-
Investment Software Maintenance	4,819	4,679
Total Banking and Investment Expenses	**$ 124,038**	**$ 89,028**

COMMISSION/COMMITTEE REPORT

The Investment Oversight Committee reviewed the quarter ended September 30, 2013, report at its November 12, 2013, meeting and concurs with its contents. The Committee confirms that the investments are in compliance with the California State Code and the City's adopted investment policy, and that the portfolio is managed in a satisfactory manner.

CITY ATTORNEY'S ANALYSIS

City Attorney analysis does not apply.

RECOMMENDATION

Staff recommends that the City Council accept the Treasurer's Report for the quarter and year ended September 30, 2013.

PREPARED BY:

Michele C. Lund
Treasury Manager

SUBMITTED BY:

Gary M. Ernst
City Treasurer

Attachment: Treasurer's Quarter End Report – September 30, 2013

CITY OF OCEANSIDE

TREASURER'S REPORT

FOR MONTH & QUARTER ENDED
SEPTEMBER 30, 2013

CITY OF OCEANSIDE
OFFICE OF THE CITY TREASURER

TO: CITY COUNCIL
CITY MANAGER

DATE: OCTOBER 29, 2013

SUBJECT: INVESTMENT REPORT FOR THE MONTH & QUARTER ENDED SEPTEMBER 30, 2013

The following is summary information for the City of Oceanside Investment Portfolio for the month & quarter ended September 30, 2013.

Market/Portfolio Commentary

Comparative to the final quarter of fiscal year 2012–2013, bond interest rates ended mixed. Interest rates were lower in the 3–month to 3–year sectors of the curve (0.05 % to 0.6% lower) and higher in the 1-month and 7 to 30-year sectors of the curve (.015% to 0.20% higher). Speculation over when the Federal Reserve would begin to taper asset purchases contributed to the overall volatility in the market during the first quarter of fiscal year 2013–2014. Also contributing to the volatility in the market was uncertainty regarding Congressional resolve to handle the budget and debt ceiling issues by their respective deadlines in October.

The minutes release from the July Federal Open Market Committee (FOMC) meeting revealed that the majority of the FOMC members agreed that a change in the asset purchase program was not appropriate at that time. The Committee also reiterated that any changes to monetary policy would be driven by economic data, specifically, evidence of an unemployment rate of 6.5% and the GDP at 2.5%. The market was taken by surprise at the outcome of the September FOMC meeting. The market expected the FOMC to announce a schedule for the commencement of tapering asset purchases. Instead, the FOMC maintained its stance of economic data dependency to support any lifting of its current accommodative monetary policy.

The book value of the portfolio declined by $14.9 million in comparison to the end of the prior fiscal year. Cash balances in LAIF were drawn down to meet first quarter expenditures as expected. During the first quarter of a fiscal year, expenditures outpace revenues until the first installment of property taxes in December are received; therefore portfolio liquidity is used to manage daily cash outflows. As a result of reducing LAIF balances, yield to maturity increased 4 basis points (.04%) since the June 2013 fiscal year end.

For month & quarter ended September 30, 2013, portfolio statistics are as follows:

• Portfolio Book Value	$243,226,296.73
• Portfolio Market Value	$241,101,214.55
• Unrealized Gain/(Loss)	($2,125,082.18)
• Average Yield-to-Maturity	0.911%
• Liquidity (0 – 6-months)	15.93%
• Average Days to Maturity	1,133 (3.10 years)
• Fiscal Year-to-Date Earnings	$568,282.37
• Fiscal Year-to-Date Capital Gains/(Losses)	$0

In compliance with the California Code Section 53646, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's budgeted expenditure requirements for the next six months. I also certify that investments in the City's portfolio meet the requirements of the City of Oceanside's adopted investment policy and the California Government Code section 53601. Market Prices are obtained from Bank of New York and the State Treasurer's Office (LAIF).

Respectfully submitted,

Gary M. Ernst
City Treasurer

CITY OF OCEANSIDE
PORTFOLIO SUMMARY
FOR MONTH & QUARTER ENDED SEPTEMBER 30, 2013

INVESTMENT TYPE	FACE VALUE	BOOK VALUE	MARKET VALUE	YIELD TO MATURITY	DAYS TO MATURITY	PERCENT OF PORTFOLIO
Month & Quarter Ended September 30, 2013						
LAIF	$ 30,750,000.00	$ 30,750,000.00	$ 30,767,445.09	0.257%	1	12.64%
Treasury Securities-Coupon	2,000,000.00	1,976,091.93	1,944,062.50	0.892%	1,672	0.81%
Federal Agency Non - Callable	11,000,000.00	10,989,666.52	10,901,262.50	1.136%	1,067	4.52%
Federal Agency - Callable	146,175,000.00	146,138,410.71	144,321,813.16	0.956%	1,395	60.08%
Federal Agency Callable - Step Up	25,000,000.00	24,998,340.27	24,756,652.50	1.095%	1,564	10.28%
Medium Term Notes	12,400,000.00	12,538,187.04	12,554,494.50	0.947%	725	5.15%
Municipal Bonds	15,735,000.00	15,835,600.26	15,855,484.30	1.293%	527	6.51%
Total Investments	$ 243,060,000.00	$ 243,226,296.73	$ 241,101,214.55	0.911%	1,133	100.00%
Unrealized Gain/(Loss) (Market Value - Book Value)			(2,125,082.18)			
Plus Accrued Interest			$ 568,282.37			
Portfolio Liquidation Unrealized Gain/(Loss)			$ (1,556,799.81)			

Prior Period Comparisons

	FACE VALUE	BOOK VALUE	MARKET VALUE	YIELD TO MATURITY	DAYS TO MATURITY	PERCENT OF PORTFOLIO
Month, Quarter & Year Ended June 30, 2013						
LAIF	$ 42,500,000.00	$ 42,500,000.00	$ 42,511,611.29	0.244%	1	16.46%
Treasury Securities-Coupon	2,000,000.00	1,974,776.41	1,932,812.50	0.892%	1,764	0.76%
Federal Agency Non - Callable	13,000,000.00	12,989,107.39	12,877,730.00	1.134%	992	5.03%
Federal Agency - Callable	142,995,000.00	142,941,768.13	140,501,194.03	0.952%	1,485	55.37%
Federal Agency Callable - Step Up	25,000,000.00	24,997,215.27	24,636,150.00	1.020%	1,656	9.68%
Medium Term Notes	12,400,000.00	12,552,189.31	12,518,949.00	0.947%	817	4.86%
Municipal Bonds	20,080,000.00	20,208,589.53	20,212,726.30	1.165%	496	7.83%
Total Investments	$ 257,975,000.00	$ 258,163,646.04	$ 255,191,173.12	0.867%	1,125	100.00%
Unrealized Gain/(Loss) (Market Value - Book Value)			(2,972,472.92)			
Plus Accrued Interest			564,052.02			
Portfolio Liquidation Unrealized Gain/(Loss)			$ (2,408,420.90)			
Month & Quarter Ended March 31, 2013						
LAIF	$ 25,250,000.00	$ 25,250,000.00	$ 25,275,719.65	0.285%	1	11.19%
Federal Agency Non - Callable	10,000,000.00	10,000,000.00	10,063,557.50	1.152%	845	4.43%
Federal Agency - Callable	135,690,000.00	135,682,955.22	135,789,009.40	0.937%	1,484	60.12%
Medium Term Notes	23,000,000.00	22,996,090.27	23,058,201.25	1.074%	1,601	10.19%
Federal Agency - Step Up	9,250,000.00	9,326,113.15	9,378,180.00	1.058%	712	4.13%
Municipal Bonds	22,285,000.00	22,445,014.97	22,503,660.40	0.012%	493	9.94%
Total Investments	$ 225,475,000.00	$ 225,700,173.61	$ 226,068,328.20	0.914%	1,172	100.00%
Unrealized Gain/(Loss) (Market Value - Book Value)			368,154.59			
Plus Accrued Interest			500,984.79			
Portfolio Liquidation Unrealized Gain/(Loss)			$ 869,139.38			

Market prices used in calculating market values were obtained from Bank of New York and State Treasurer's Office (LAIF).

CITY OF OCEANSIDE
INTEREST EARNINGS SUMMARY
September 30, 2013

	Month Ending September 30, 2013	Fiscal Year To Date
CD/Coupon/Discount Investments:		
Interest Collected	$ 157,623.61	$ 577,175.54
PLUS Accrued Interest at End of Period	509,634.66	509,634.66
LESS Accrued Interest at Beginning of Period	(481,695.82)	(529,030.02)
Interest Earned during Period	$ 185,562.45	$ 557,780.18
ADJUSTED by Premiums and Discounts	(4,088.88)	(12,904.31)
ADJUSTED by Capital Gains or Losses	0.00	0.00
Earnings during Period	$ 181,473.57	$ 544,875.87
Local Agency Investment Fund (L.A.I.F.):		
Interest Collected	$ -	$ 31,329.10
PLUS Accrued Interest at End of Period	27,099.33	27,099.33
LESS Accrued Interest at Beginning of Period	(20,268.65)	(35,021.99)
Interest Earned during Period	$ 6,830.68	$ 23,406.44
TOTAL Interest Earned during Period	$ 192,393.13	$ 581,186.62
TOTAL Premiums and Discounts	(4,088.88)	(12,904.31)
TOTAL Capital Gains or Losses	0.00	0.00
SUB-TOTAL Earnings during Period	$ 188,304.25	$ 568,282.31
Earnings Adjustments	-	0.06
TOTAL Earnings during Period	$ 188,304.25	$ 568,282.37

CITY OF OCEANSIDE

PORTFOLIO DETAILS

AS OF SEPTEMBER 30, 2013

Oceanside Investment Pool
Portfolio Management
Portfolio Details - Investments
September 30, 2013

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
LAIF												
SYS18000	18000	Local Agency Investment Fund			10,250,000.00	10,255,815.03	10,250,000.00	0.257		0.257	1	
SYS18001	18001	Local Agency Investment Fund			20,500,000.00	20,511,630.06	20,500,000.00	0.257		0.257	1	
		Subtotal and Average	**30,666,666.67**		**30,750,000.00**	**30,767,445.09**	**30,750,000.00**			**0.257**	**1**	
Treasury Securities - Coupon												
912828UZ1	13-0123	Industrial Bank Of Japan NY		05/23/2013	2,000,000.00	1,944,062.50	1,976,091.93	0.625		0.892	1,672	04/30/2018
		Subtotal and Average	**1,975,884.59**		**2,000,000.00**	**1,944,062.50**	**1,976,091.93**			**0.892**	**1,672**	
Federal Agency - Non Callable												
3133XXQV2	10-0059	Federal Home Loan Bank		04/05/2010	2,000,000.00	2,006,180.00	2,000,000.00	2.000		2.000	59	11/29/2013
31331KCA6	11-0080	Federal Farm Credit Bank		02/10/2011	1,000,000.00	1,004,640.00	1,000,000.00	1.375		1.375	132	02/10/2014
3134G3S50	13-0036	Federal Home Loan Mort Corp		10/18/2012	2,000,000.00	1,990,312.50	2,000,000.00	0.625		0.625	1,127	11/01/2016
3133786Q9	12-0109	Federal Home Loan Bank		02/13/2012	1,000,000.00	1,004,420.00	1,000,000.00	1.000		1.000	1,231	02/13/2017
3133ECBX1	13-0068	Federal Farm Credit Bank		12/28/2012	1,000,000.00	982,650.00	1,000,000.00	0.820		0.820	1,458	09/28/2017
3133ECBX1	13-0069	Federal Farm Credit Bank		12/28/2012	1,000,000.00	982,650.00	1,000,000.00	0.820		0.820	1,458	09/28/2017
3135G0WJ8	13-0122	Federal National Mortgage Assc		05/23/2013	2,000,000.00	1,943,080.00	1,985,566.30	0.875		1.035	1,693	05/21/2018
313379DT3	13-0126	Federal Home Loan Bank		06/10/2013	1,000,000.00	987,330.00	1,004,100.22	1.250		1.160	1,711	06/08/2018
		Subtotal and Average	**11,789,576.43**		**11,000,000.00**	**10,901,262.50**	**10,989,666.52**			**1.136**	**1,067**	
Federal Agency - Callable												
3134G3MR8	12-0101	Federal Home Loan Mort Corp		02/13/2012	2,000,000.00	2,003,340.00	2,000,000.00	0.550		0.550	500	02/13/2015
3134G3MY3	12-0108	Federal Home Loan Mort Corp		02/28/2012	2,000,000.00	2,004,320.00	2,000,000.00	0.650		0.650	696	08/28/2015
3134G3TL4	12-0127	Federal Home Loan Mort Corp		03/29/2012	1,000,000.00	1,003,630.00	1,000,000.00	0.900		0.900	727	09/28/2015
3134G27A4	12-0054	Federal Home Loan Mort Corp		11/25/2011	1,000,000.00	1,001,200.00	1,000,000.00	1.000		1.000	785	11/25/2015
3134G3MX5	12-0107	Federal Home Loan Mort Corp		02/24/2012	2,000,000.00	2,004,140.00	2,000,000.00	0.800		0.800	876	02/24/2016
3133EAVG0	12-0149	Federal Farm Credit Bank		06/22/2012	2,000,000.00	2,000,140.00	2,000,000.00	0.800		0.800	902	03/21/2016
3133EAVG0	12-0164	Federal Farm Credit Bank		06/21/2012	1,000,000.00	1,000,070.00	1,000,000.00	0.800		0.800	902	03/21/2016
3134G3U99	13-0045	Federal Home Loan Mort Corp		11/20/2012	1,000,000.00	999,830.00	1,000,000.00	0.625		0.625	962	05/20/2016
313379J26	12-0160	Federal Home Loan Bank		06/06/2012	1,000,000.00	998,490.00	1,000,000.00	0.850		0.850	979	06/06/2016
3133EAUE6	12-0163	Federal Farm Credit Bank		06/14/2012	1,000,000.00	1,000,080.00	1,000,000.00	0.875		0.888	987	06/14/2016
313383KG5	13-0133	Federal Home Loan Bank		06/27/2013	1,000,000.00	996,840.00	1,000,000.00	0.800		0.800	997	06/24/2016
3133EAJ33	13-0003	Federal Farm Credit Bank		08/16/2012	1,000,000.00	999,910.00	1,000,000.00	0.730		0.794	1,049	08/15/2016
3134G3MP2	12-0104	Federal Home Loan Mort Corp		02/24/2012	2,000,000.00	2,005,020.00	2,000,000.00	1.000		1.000	1,058	08/24/2016
3133EAU22	13-0024	Federal Farm Credit Bank		09/12/2012	1,000,000.00	998,050.00	1,000,000.00	0.680		0.685	1,077	09/12/2016
3133EAZ76	13-0033	Federal Farm Credit Bank		09/26/2012	1,000,000.00	997,940.00	1,000,000.00	0.690		0.690	1,091	09/26/2016
3136G1VQ9	14-0003	Federal National Mortgage Assc		09/26/2013	1,000,000.00	1,003,980.00	1,000,000.00	1.100		1.100	1,091	09/26/2016
3136G1V48	14-0004	Federal National Mortgage Assc		09/27/2013	1,000,000.00	1,003,160.00	998,552.89	1.100		1.150	1,092	09/27/2016
3134G3P38	13-0031	Federal Home Loan Mort Corp		10/05/2012	1,000,000.00	999,490.00	1,000,000.00	0.750		0.750	1,100	10/05/2016

Oceanside Investment Pool
Portfolio Management
Portfolio Details - Investments
September 30, 2013

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
Federal Agency - Callable												
3133EA5V6	13-0038	Federal Farm Credit Bank		10/24/2012	1,000,000.00	996,850.00	1,000,000.00	0.620		0.620	1,119	10/24/2016
3133EA5V6	13-0039	Federal Farm Credit Bank		10/24/2012	1,000,000.00	996,850.00	1,000,000.00	0.620		0.620	1,119	10/24/2016
3133EA5W4	13-0050	Federal Farm Credit Bank		12/05/2012	1,000,000.00	993,960.00	1,000,000.00	0.650		0.657	1,119	10/24/2016
3134G4CR7	14-0001	Federal Home Loan Mort Corp		07/25/2013	1,000,000.00	1,000,530.00	1,000,000.00	1.000		1.000	1,120	10/25/2016
3134G4CR7	14-0002	Federal Home Loan Mort Corp		07/25/2013	1,000,000.00	1,000,530.00	1,000,000.00	1.000		1.000	1,120	10/25/2016
3133EC5B6	13-0052	Federal Farm Credit Bank		12/05/2012	1,000,000.00	993,400.00	999,959.04	0.640		0.646	1,155	11/29/2016
3133EC6S8	13-0059	Federal Farm Credit Bank		12/12/2012	1,000,000.00	992,480.00	999,950.69	0.600		0.606	1,168	12/12/2016
3135G0UA9	13-0084	Federal National Mortgage Assc		02/06/2013	2,000,000.00	1,986,320.00	2,000,000.00	0.750		0.750	1,224	02/06/2017
3135G0UC5	13-0081	Federal National Mortgage Assc		02/13/2013	2,000,000.00	1,995,860.00	2,000,000.00	1.000		0.923	1,231	02/13/2017
3136FTK81	12-0103	Federal National Mortgage Assc		02/21/2012	2,000,000.00	2,007,300.00	2,000,000.00	1.300		1.300	1,239	02/21/2017
3134G3MT4	12-0105	Federal Home Loan Mort Corp		02/24/2012	1,000,000.00	997,010.00	1,000,000.00	1.125		1.125	1,242	02/24/2017
3135G0XL2	13-0127	Federal National Mortgage Assc		06/13/2013	2,000,000.00	1,978,040.00	1,990,097.56	0.650		1.050	1,245	02/27/2017
3134G4FD5	14-0005	Federal Home Loan Mort Corp		09/13/2013	1,180,000.00	1,183,823.20	1,172,436.60	1.125		1.323	1,256	03/10/2017
3133EAW46	13-0029	Federal Farm Credit Bank		09/17/2012	1,000,000.00	986,730.00	1,000,000.00	0.790		0.790	1,263	03/17/2017
3133ECMZ4	13-0098	Federal Farm Credit Bank		05/01/2013	1,000,000.00	984,300.00	999,708.33	0.690		0.703	1,308	05/01/2017
3133812G9	13-0037	Federal Home Loan Bank		11/08/2012	1,000,000.00	981,290.00	1,000,000.00	0.740		0.740	1,315	05/08/2017
3136G0GA3	12-0145	Federal National Mortgage Assc		05/16/2012	1,000,000.00	1,001,680.00	1,000,000.00	1.200		1.200	1,323	05/16/2017
313381AN5	13-0046	Federal Home Loan Bank		11/23/2012	1,550,000.00	1,526,507.81	1,550,000.00	0.800		0.800	1,330	05/23/2017
3133EC5L4	13-0054	Federal Farm Credit Bank		12/05/2012	2,000,000.00	1,969,020.00	2,000,000.00	0.770		0.780	1,343	06/05/2017
3133ECR30	13-0124	Federal Farm Credit Bank		06/05/2013	2,000,000.00	1,985,960.00	2,000,000.00	0.960		0.960	1,343	06/05/2017
313383JK8	13-0135	Federal Home Loan Bank		06/27/2013	2,000,000.00	1,983,660.00	2,000,000.00	1.000		1.077	1,365	06/27/2017
3134G32S8	13-0060	Federal Home Loan Mort Corp		12/27/2012	1,000,000.00	983,800.00	1,000,000.00	0.750		0.750	1,365	06/27/2017
3133EA4H8	13-0040	Federal Farm Credit Bank		11/08/2012	2,000,000.00	1,968,560.00	2,000,000.00	0.820		0.820	1,379	07/11/2017
3136G1BT5	13-0080	Federal National Mortgage Assc		02/07/2013	2,000,000.00	1,972,940.00	2,000,000.00	0.850		0.850	1,406	08/07/2017
3136G1BT5	13-0086	Federal National Mortgage Assc		02/07/2013	1,000,000.00	986,470.00	1,000,000.00	0.850		0.856	1,406	08/07/2017
3135G0NC3	13-0008	Federal National Mortgage Assc		08/16/2012	1,000,000.00	990,960.00	1,000,000.00	1.000		1.057	1,413	08/14/2017
313381Z24	13-0091	Federal Home Loan Bank		02/15/2013	1,000,000.00	986,030.00	1,000,000.00	0.850		0.850	1,414	08/15/2017
3133EC3G7	13-0049	Federal Farm Credit Bank		12/03/2012	2,000,000.00	1,962,400.00	2,000,000.00	0.800		0.820	1,420	08/21/2017
3133EAP28	13-0010	Federal Farm Credit Bank		08/22/2012	2,000,000.00	1,987,100.00	2,000,000.00	1.100		1.100	1,421	08/22/2017
3135G0NR0	13-0005	Federal National Mortgage Assc		08/28/2012	2,000,000.00	1,983,750.00	2,000,000.00	1.100		1.100	1,427	08/28/2017
3136G0YE5	13-0006	Federal National Mortgage Assc		08/28/2012	2,000,000.00	1,971,140.00	2,000,000.00	1.000		1.000	1,427	08/28/2017
3136G1MQ9	13-0109	Federal National Mortgage Assc		05/28/2013	1,000,000.00	981,690.00	1,000,000.00	0.750		0.750	1,427	08/28/2017
313380LL9	13-0014	Federal Home Loan Bank		09/06/2012	1,000,000.00	983,320.00	1,000,000.00	1.080		1.080	1,436	09/06/2017
313380LL9	13-0015	Federal Home Loan Bank		09/06/2012	1,000,000.00	983,320.00	1,000,000.00	1.080		1.080	1,436	09/06/2017
313380MP9	13-0028	Federal Home Loan Bank		09/13/2012	1,000,000.00	985,650.00	1,000,000.00	0.980		1.001	1,441	09/11/2017
3133ECA95	13-0063	Federal Farm Credit Bank		12/18/2012	2,000,000.00	1,958,540.00	2,000,000.00	0.790		0.795	1,448	09/18/2017
313383JB8	13-0132	Federal Home Loan Bank		06/27/2013	2,000,000.00	1,977,200.00	2,000,000.00	1.000		1.000	1,457	09/27/2017
3134G3J43	13-0021	Federal Home Loan Mort Corp		09/27/2012	1,000,000.00	987,230.00	1,000,000.00	1.040		1.040	1,457	09/27/2017

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Federal Agency - Callable												
3135G0PD9	13-0020	Federal National Mortgage Assc		09/27/2012	2,000,000.00	1,978,380.00	2,000,000.00	1.070		1.070	1,457	09/27/2017
3136G0B26	13-0027	Federal National Mortgage Assc		09/27/2012	1,000,000.00	988,520.00	1,000,000.00	1.000		1.000	1,457	09/27/2017
3133EA5A2	13-0035	Federal Farm Credit Bank		10/18/2012	1,000,000.00	986,320.00	1,000,000.00	0.870		0.880	1,478	10/18/2017
313381WB7	13-0085	Federal Home Loan Bank		02/07/2013	1,000,000.00	982,860.00	999,566.00	0.875		0.902	1,498	11/07/2017
3133813R4	13-0053	Federal Home Loan Bank		12/05/2012	2,000,000.00	1,964,420.00	2,000,000.00	1.000		0.979	1,500	11/09/2017
3133813R4	13-0065	Federal Home Loan Bank		12/19/2012	2,000,000.00	1,964,420.00	2,000,000.00	1.000		1.000	1,500	11/09/2017
3133EC2M5	13-0041	Federal Farm Credit Bank		11/13/2012	1,000,000.00	978,610.00	1,000,000.00	0.940		0.940	1,504	11/13/2017
3133EC2M5	13-0042	Federal Farm Credit Bank		11/13/2012	1,000,000.00	978,610.00	1,000,000.00	0.940		0.940	1,504	11/13/2017
3133817D1	13-0044	Federal Home Loan Bank		11/15/2012	1,000,000.00	986,250.00	1,000,000.00	0.980		0.980	1,506	11/15/2017
3133EC2Y9	13-0047	Federal Farm Credit Bank		11/20/2012	1,000,000.00	982,870.00	1,000,000.00	0.850		0.850	1,511	11/20/2017
3133EC2Y9	13-0051	Federal Farm Credit Bank		12/05/2012	1,445,000.00	1,420,247.15	1,445,000.00	0.850		0.850	1,511	11/20/2017
3134G3Y38	13-0048	Federal Home Loan Mort Corp		11/27/2012	2,000,000.00	1,957,520.00	2,000,000.00	0.900		0.900	1,518	11/27/2017
3133EC7A6	13-0057	Federal Farm Credit Bank		12/12/2012	1,000,000.00	978,650.00	1,000,000.00	0.820		0.820	1,533	12/12/2017
3133EC7A6	13-0058	Federal Farm Credit Bank		12/12/2012	1,000,000.00	978,650.00	999,763.33	0.820		0.845	1,533	12/12/2017
313381K95	13-0064	Federal Home Loan Bank		12/28/2012	2,000,000.00	1,956,180.00	2,000,000.00	0.840		0.840	1,549	12/28/2017
3134G32Y5	13-0067	Federal Home Loan Mort Corp		12/28/2012	2,000,000.00	1,963,880.00	2,000,000.00	0.900		0.931	1,549	12/28/2017
3134G33B4	13-0070	Federal Home Loan Mort Corp		01/14/2013	1,000,000.00	980,890.00	1,000,000.00	1.000		1.010	1,563	01/11/2018
313381QE8	13-0071	Federal Home Loan Bank		01/22/2013	2,000,000.00	1,972,120.00	2,000,000.00	0.950		0.981	1,574	01/22/2018
3134G33Y4	13-0073	Federal Home Loan Mort Corp		01/22/2013	2,000,000.00	1,962,580.00	1,998,037.50	0.950		0.981	1,574	01/22/2018
313381SP1	13-0074	Federal Home Loan Bank		01/30/2013	2,000,000.00	1,968,700.00	2,000,000.00	1.050		1.050	1,582	01/30/2018
313381UJ2	13-0075	Federal Home Loan Bank		01/30/2013	1,000,000.00	978,820.00	1,000,000.00	1.020		1.020	1,582	01/30/2018
3136G1AZ2	13-0072	Federal National Mortgage Assc		01/30/2013	2,000,000.00	1,967,240.00	2,000,000.00	1.000		1.000	1,582	01/30/2018
3135G0TV5	13-0076	Federal National Mortgage Assc		01/30/2013	1,000,000.00	982,200.00	1,000,000.00	1.030		1.030	1,582	01/30/2018
3135G0TV5	13-0079	Federal National Mortgage Assc		01/30/2013	2,000,000.00	1,964,400.00	2,000,000.00	1.030		1.030	1,582	01/30/2018
3136G1BK4	13-0078	Federal National Mortgage Assc		02/05/2013	1,000,000.00	981,350.00	1,000,000.00	1.000		1.000	1,588	02/05/2018
3133ECEQ3	13-0083	Federal Farm Credit Bank		02/06/2013	1,000,000.00	986,230.00	1,000,000.00	1.100		1.100	1,589	02/06/2018
3134G34Y3	13-0089	Federal Home Loan Mort Corp		02/14/2013	1,000,000.00	981,740.00	1,000,000.00	1.000		1.005	1,597	02/14/2018
3135G0UE1	13-0088	Federal National Mortgage Assc		02/14/2013	2,000,000.00	1,957,200.00	1,999,704.44	1.000		1.008	1,597	02/14/2018
3135G0UD3	13-0090	Federal National Mortgage Assc		02/14/2013	1,000,000.00	974,375.00	1,000,000.00	1.010		1.010	1,597	02/14/2018
3133ECMF8	13-0097	Federal Farm Credit Bank		04/30/2013	2,000,000.00	1,952,620.00	2,000,000.00	0.980		0.980	1,666	04/24/2018
3134G42G2	13-0121	Federal Home Loan Mort Corp		05/23/2013	2,000,000.00	1,952,840.00	2,000,000.00	1.050		1.050	1,672	04/30/2018
3136G1KD0	13-0102	Federal National Mortgage Assc		05/15/2013	2,000,000.00	1,948,480.00	1,998,848.99	1.000		1.020	1,672	04/30/2018
3133ECNY6	13-0100	Federal Farm Credit Bank		05/08/2013	1,000,000.00	973,830.00	1,000,000.00	0.950		0.950	1,680	05/08/2018
3133ECNY6	13-0101	Federal Farm Credit Bank		05/08/2013	1,000,000.00	973,830.00	1,000,000.00	0.950		0.950	1,680	05/08/2018
3136G1LE7	13-0099	Federal National Mortgage Assc		05/15/2013	1,000,000.00	974,070.00	1,000,000.00	1.010		1.010	1,687	05/15/2018
3133ECQ56	13-0104	Federal Farm Credit Bank		05/22/2013	2,000,000.00	1,963,600.00	1,999,807.50	1.080		1.083	1,694	05/22/2018
3133ECQ56	13-0105	Federal Farm Credit Bank		05/22/2013	2,000,000.00	1,963,600.00	2,000,000.00	1.080		1.080	1,694	05/22/2018
3135G0XK4	13-0111	Federal National Mortgage Assc		05/30/2013	1,000,000.00	973,060.00	999,722.29	1.050		1.069	1,697	05/25/2018

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Federal Agency - Callable												
3135G0XK4	13-0112	Federal National Mortgage Assc		05/30/2013	2,000,000.00	1,946,120.00	2,000,000.00	1.050		1.050	1,697	05/25/2018
3133837A3	13-0115	Federal Home Loan Bank		06/13/2013	1,000,000.00	978,730.00	1,000,000.00	1.100		1.100	1,716	06/13/2018
3133837A3	13-0116	Federal Home Loan Bank		06/13/2013	1,000,000.00	978,730.00	1,000,000.00	1.100		1.100	1,716	06/13/2018
3133837A3	13-0117	Federal Home Loan Bank		06/13/2013	2,000,000.00	1,957,460.00	2,000,000.00	1.100		1.100	1,716	06/13/2018
313383BD2	13-0119	Federal Home Loan Bank		06/13/2013	1,000,000.00	971,430.00	1,000,000.00	1.100		1.100	1,716	06/13/2018
3134G4A99	13-0128	Federal Home Loan Mort Corp		06/26/2013	2,000,000.00	1,974,020.00	2,000,000.00	1.300		1.300	1,729	06/26/2018
3134G4A99	13-0129	Federal Home Loan Mort Corp		06/26/2013	2,000,000.00	1,974,020.00	1,991,319.44	1.300		1.404	1,729	06/26/2018
3134G46X1	13-0131	Federal Home Loan Mort Corp		06/27/2013	2,000,000.00	1,960,780.00	1,995,936.11	1.250		1.307	1,730	06/27/2018
3134G4AE8	13-0134	Federal Home Loan Mort Corp		06/27/2013	1,000,000.00	988,530.00	1,000,000.00	1.400		1.400	1,730	06/27/2018
		Subtotal and Average	**145,566,587.72**		**146,175,000.00**	**144,321,813.16**	**146,138,410.71**			**0.956**	**1,395**	
Federal Agency Callable - Step Up												
313376Q99	12-0089	Federal Home Loan Bank		01/13/2012	1,000,000.00	1,002,490.00	1,000,000.00	1.125		1.125	1,200	01/13/2017
3134G3LU2	12-0096	Federal Home Loan Mort Corp		02/14/2012	2,000,000.00	2,005,360.00	2,000,000.00	1.050		1.050	1,232	02/14/2017
3136G0ZM6	13-0012	Federal National Mortgage Assc		09/12/2012	2,000,000.00	2,004,220.00	2,000,000.00	0.750		0.750	1,442	09/12/2017
3136G0ZW4	13-0018	Federal National Mortgage Assc		09/20/2012	1,000,000.00	989,800.00	1,000,000.00	0.750		1.268	1,450	09/20/2017
3136G0B59	13-0019	Federal National Mortgage Assc		09/20/2012	1,000,000.00	994,640.00	1,000,000.00	0.700		1.095	1,450	09/20/2017
3136G0D24	13-0026	Federal National Mortgage Assc		09/25/2012	1,000,000.00	987,430.00	1,000,000.00	0.500		1.093	1,455	09/25/2017
3136G03G4	13-0043	Federal National Mortgage Assc		11/15/2012	1,000,000.00	986,562.50	1,000,000.00	0.700		0.976	1,506	11/15/2017
3136G1C23	13-0087	Federal National Mortgage Assc		02/13/2013	2,000,000.00	1,974,160.00	1,998,533.33	0.700		1.071	1,596	02/13/2018
3136G1CQ0	13-0092	Federal National Mortgage Assc		02/20/2013	1,000,000.00	990,030.00	999,806.94	0.750		1.152	1,603	02/20/2018
3136G1CJ6	13-0093	Federal National Mortgage Assc		02/21/2013	2,000,000.00	1,967,000.00	2,000,000.00	0.750		1.094	1,604	02/21/2018
3136G1DA4	13-0094	Federal National Mortgage Assc		02/28/2013	2,000,000.00	1,981,980.00	2,000,000.00	1.000		1.171	1,611	02/28/2018
3136G1LV9	13-0110	Federal National Mortgage Assc		05/29/2013	1,000,000.00	977,990.00	1,000,000.00	0.700		1.077	1,701	05/29/2018
3133836N6	13-0114	Federal Home Loan Bank		06/12/2013	2,000,000.00	1,962,260.00	2,000,000.00	0.800		1.119	1,715	06/12/2018
3136G1MY2	13-0118	Federal National Mortgage Assc		06/13/2013	2,000,000.00	1,961,920.00	2,000,000.00	0.800		0.800	1,716	06/13/2018
313383EM9	13-0125	Federal Home Loan Bank		06/20/2013	1,000,000.00	983,560.00	1,000,000.00	0.900		1.165	1,723	06/20/2018
313383J44	13-0130	Federal Home Loan Bank		06/26/2013	1,000,000.00	988,610.00	1,000,000.00	0.750		1.342	1,729	06/26/2018
313383J28	13-0136	Federal Home Loan Bank		06/27/2013	2,000,000.00	1,998,640.00	2,000,000.00	1.000		1.491	1,730	06/27/2018
		Subtotal and Average	**24,998,159.03**		**25,000,000.00**	**24,756,652.50**	**24,998,340.27**			**1.095**	**1,564**	
Medium Term Notes												
36962G4X9	11-0096	General Electric Capital Corp		03/22/2011	1,500,000.00	1,507,050.00	1,501,123.34	2.100		1.810	98	01/07/2014
89233P5Z5	12-0110	Toyota Motor Credit		02/17/2012	2,000,000.00	2,016,000.00	1,998,622.22	1.000		1.051	504	02/17/2015
63254AAA6	13-0009	National Australia Bank Lt		08/21/2012	1,000,000.00	1,020,170.00	1,010,585.71	2.000	AA	1.250	524	03/09/2015
149123BY6	12-0169	Caterpillar Inc		06/26/2012	1,000,000.00	1,004,730.00	999,878.47	0.950		0.957	633	06/26/2015
369604BE2	13-0034	General Electric		10/09/2012	1,250,000.00	1,252,875.00	1,253,168.15	0.850	AA	0.723	738	10/09/2015
58933YAD7	13-0103	Merck & Co Inc. Pharmaceutical		05/21/2013	2,000,000.00	1,997,720.00	2,005,099.91	0.700	AA	0.602	960	05/18/2016

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Medium Term Notes												
459200GX3	13-0120	International Business Machine		05/23/2013	2,150,000.00	2,213,919.50	2,222,671.28	1.950	AA	0.730	1,025	07/22/2016
191216AU4	13-0062	Coca-Cola Compay		12/14/2012	1,500,000.00	1,542,030.00	1,547,037.96	1.800	AA	0.709	1,066	09/01/2016
		Subtotal and Average	**12,540,442.97**		**12,400,000.00**	**12,554,494.50**	**12,538,187.04**			**0.947**	**725**	
Municipal Bonds												
13063BNP3	12-0030	State of Callifornia		10/31/2011	2,000,000.00	2,000,000.00	2,000,000.00	3.000		1.050	0	10/01/2013
769036AT1	13-0113	City of Riverside		06/03/2013	2,000,000.00	1,998,160.00	2,000,000.00	0.650	A	0.650	243	06/01/2014
399262HN7	12-0044	Grossmont CA Union High School		11/22/2011	1,000,000.00	1,005,160.00	1,000,000.00	1.427	AA	1.427	304	08/01/2014
492246ME0	11-0142	Kern CA High School District		06/29/2011	2,070,000.00	2,083,144.50	2,070,000.00	1.984		1.984	304	08/01/2014
541498CJ8	13-0107	Loma Prieta CA JT Elem Sch Dis		05/28/2013	170,000.00	169,602.20	170,000.00	0.340	AA	0.340	304	08/01/2014
675371AJ7	12-0075	City of Oceanside		12/21/2011	1,745,000.00	1,800,805.10	1,772,189.73	4.830		2.988	318	08/15/2014
13066KL23	12-0022	California St Dept of Wtr Reso		10/13/2011	1,000,000.00	1,030,840.00	1,024,459.04	3.000	AAA	0.870	426	12/01/2014
541498CK5	13-0108	Loma Prieta CA JT Elem Sch Dis		05/28/2013	180,000.00	179,524.80	180,000.00	0.540	AA	0.540	669	08/01/2015
650264SY1	13-0055	Newark Unified School District		12/20/2012	570,000.00	570,917.70	570,000.00	0.990		0.990	669	08/01/2015
13066KL31	12-0021	California St Dept of Wtr Reso		10/13/2011	1,000,000.00	1,052,930.00	1,038,370.97	3.000	AAA	1.180	791	12/01/2015
20772JKJ0	13-0095	State of Connecticut		03/05/2013	2,000,000.00	1,999,520.00	2,019,877.14	1.032	AA	0.700	1,110	10/15/2016
73358WKH6	13-0066	Port Authority of NY & NJ		12/21/2012	2,000,000.00	1,964,880.00	1,990,703.38	0.850		1.000	1,157	12/01/2016
		Subtotal and Average	**17,440,109.64**		**15,735,000.00**	**15,855,484.30**	**15,835,600.26**			**1.293**	**527**	
		Total and Average	**244,977,427.05**		**243,060,000.00**	**241,101,214.55**	**243,226,296.73**			**0.911**	**1,133**	

Oceanside Investment Pool
Portfolio Management
Portfolio Details - Cash
September 30, 2013

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity
		Average Balance	0.00	Accrued Interest at Purchase		3,996.33	3,996.33				0
				Subtotal		3,996.33	3,996.33				
	Total Cash and Investments		244,977,427.05		243,060,000.00	241,105,210.88	243,230,293.06			0.911	1,133

CITY OF OCEANSIDE

INVESTMENT TRANSACTIONS

7/1/13 THROUGH 9/30/13

Oceanside Quarterly Report
Purchases Report
Sorted by Purchase Date - Fund
July 1, 2013 - September 30, 2013

CUSIP	Investment #	Fund	Sec. Type	Issuer	Original Par Value	Purchase Date	Payment Periods	Principal Purchased	Accrued Interest at Purchase	Rate at Purchase	Maturity Date	YTM	Ending Book Value
3134G4CR7	14-0001	102	FAC	FHLMC	1,000,000.00	07/25/2013	10/25 - 04/25	1,000,000.00		1.000	10/25/2016	1.000	1,000,000.00
3134G4CR7	14-0002	102	FAC	FHLMC	1,000,000.00	07/25/2013	10/25 - 04/25	1,000,000.00		1.000	10/25/2016	1.000	1,000,000.00
3134G4FD5	14-0005	102	FAC	FHLMC	1,180,000.00	09/13/2013	03/10 - 09/10	1,172,035.00	110.63	1.125	03/10/2017	1.323	1,172,436.60
3136G1VQ9	14-0003	102	FAC	FNMA	1,000,000.00	09/26/2013	03/26 - 09/26	1,000,000.00		1.100	09/26/2016	1.100	1,000,000.00
3136G1V48	14-0004	102	FAC	FNMA	1,000,000.00	09/27/2013	03/27 - 09/27	998,520.00		1.100	09/27/2016	1.150	998,552.89
			Total Purchases		**5,180,000.00**			**5,170,555.00**	**110.63**				**5,170,989.49**

Oceanside Quarterly Report
Sales/Call Report
Sorted by Redemption Date - Fund
July 1, 2013 - September 30, 2013

ISIP	Investment #	Fund	Issuer Sec. Type	Purchase Date	Redem. Date Matur. Date	Par Value	Rate at Redem.	Book Value at Redem.	Redemption Principal	Redemption Interest	Total Amount	Net Income
36FRX57	12-0005	102	FNMA FAC	09/20/2011	09/20/2013 09/20/2016	1,000,000.00	1.420	1,000,000.00	1,000,000.00	7,100.00	1,007,100.00 Call	7,100.00
159HGZ7	12-0031	102	USBANK FAC	10/31/2011	09/30/2013 10/30/2013	1,000,000.00	1.125	1,000,000.00	1,000,000.00	4,687.50	1,004,687.50 Call	4,687.50
					Total Sales	**2,000,000.00**		**2,000,000.00**	**2,000,000.00**	**11,787.50**	**2,011,787.50**	**11,787.50**

Oceanside Quarterly Report
Maturity Report
Sorted by Maturity Date
Amounts due during July 1, 2013 - September 30, 2013

CUSIP	Investment #	Fund	Sec. Type	Issuer	Par Value	Maturity Date	Purchase Date	Rate at Maturity	Book Value at Maturity	Interest	Maturity Proceeds	Net Income
607763BC2	12-0003	102	MUN	MODWTR	1,000,000.00	07/01/2013	07/29/2011	2.000	1,000,000.00	10,000.00	1,010,000.00	10,000.00
541498CH2	13-0106	102	MUN	LPTSCD	180,000.00	08/01/2013	05/28/2013	0.290	180,000.00	91.35	180,091.35	91.35
650264SW5	13-0056	102	MUN	NEWSCD	735,000.00	08/01/2013	12/20/2012	0.510	735,000.00	1,874.25	736,874.25	1,874.25
799561KV6	12-0165	102	MUN	SYSSCD	430,000.00	08/01/2013	06/27/2012	0.650	430,000.00	1,397.50	431,397.50	1,397.50
313372UH5	11-0085	102	NCB	FHLB	2,000,000.00	09/13/2013	03/15/2011	1.125	2,000,000.00	11,125.00	2,011,125.00	11,125.00
220507AG2	13-0032	102	MUN	CCM	2,000,000.00	09/25/2013	09/26/2012	0.700	2,000,000.00	13,961.11	2,013,961.11	13,961.11
			Total Maturities		**6,345,000.00**				**6,345,000.00**	**38,449.21**	**6,383,449.21**	**38,449.21**

CITY OF OCEANSIDE

LAIF ACTIVITY GRAPHS

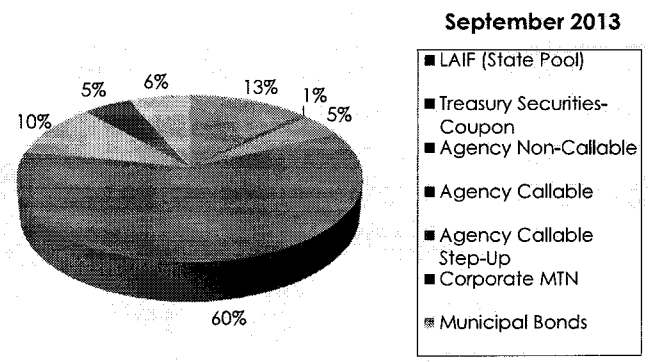
AS OF SEPTEMBER 30, 2013

Oceanside Quarterly Report
Cash/Checking Activity
July 1, 2013 - September 30, 2013

Issuer Investment #	Fund	Security ID	Current Rate	Transaction Date	Receipt	Deposits	Withdrawals	Interest Received	Balance
LAIF									
Local Agency Investment Fund									
18000		SYS18000							**7,750,000.00**
			0.244	07/01/2013					7,750,000.00
			0.244	07/01/2013		1,250,000.00			9,000,000.00
			0.244	07/03/2013			750,000.00		8,250,000.00
			0.244	07/05/2013		500,000.00			8,750,000.00
			0.244	07/08/2013		750,000.00			9,500,000.00
			0.244	07/15/2013				16,160.87	9,500,000.00
			0.244	07/16/2013			2,500,000.00		7,000,000.00
			0.244	07/18/2013		1,000,000.00			8,000,000.00
			0.244	07/22/2013		1,000,000.00			9,000,000.00
			0.244	07/25/2013			1,000,000.00		8,000,000.00
			0.244	07/26/2013			3,000,000.00		5,000,000.00
			0.267	07/31/2013					5,000,000.00
			0.267	08/05/2013		1,000,000.00			6,000,000.00
			0.267	08/09/2013		500,000.00			6,500,000.00
			0.267	08/15/2013			1,250,000.00		5,250,000.00
			0.267	08/19/2013		1,500,000.00			6,750,000.00
			0.267	08/21/2013		2,000,000.00			8,750,000.00
			0.271	08/31/2013					8,750,000.00
			0.271	09/03/2013		750,000.00			9,500,000.00
			0.271	09/11/2013		1,000,000.00			10,500,000.00
			0.271	09/16/2013		1,000,000.00			11,500,000.00
			0.271	09/18/2013			1,250,000.00		10,250,000.00
			0.271	09/20/2013			1,000,000.00		9,250,000.00
			0.271	09/25/2013		4,500,000.00			13,750,000.00
			0.271	09/26/2013			1,500,000.00		12,250,000.00
			0.271	09/27/2013			1,250,000.00		11,000,000.00
			0.271	09/30/2013			750,000.00		10,250,000.00
			0.257	09/30/2013					10,250,000.00
	Subtotal and Ending Balance		**0.257**			**16,750,000.00**	**14,250,000.00**	**16,160.87**	**10,250,000.00**
18001		SYS18001							**34,750,000.00**
			0.244	07/01/2013					34,750,000.00
			0.244	07/15/2013				15,168.23	34,750,000.00
			0.244	07/31/2013			6,750,000.00		28,000,000.00
			0.267	07/31/2013					28,000,000.00

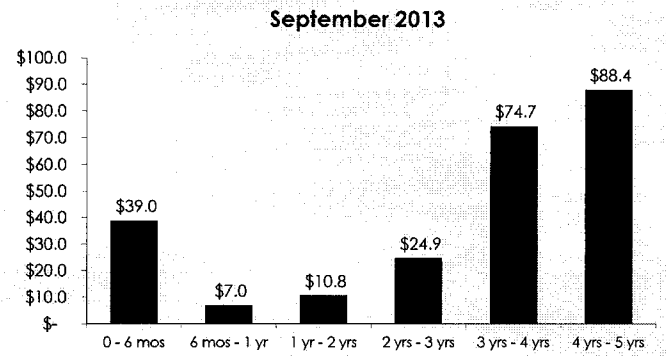
Issuer Investment #	Fund	Security ID	Current Rate	Transaction Date	Receipt	Deposits	Withdrawals	Interest Received	Balance
Local Agency Investment Fund									
18001		SYS18001							**28,000,000.00**
			0.267	08/23/2013			1,250,000.00		26,750,000.00
			0.267	08/30/2013			6,250,000.00		20,500,000.00
			0.271	08/31/2013					20,500,000.00
			0.257	09/30/2013					20,500,000.00
Subtotal and Ending Balance			**0.257**			**0.00**	**14,250,000.00**	**15,168.23**	**20,500,000.00**
				Total		**16,750,000.00**	**28,500,000.00**	**31,329.10**	**30,750,000.00**

BREAKDOWN OF INVENTORY BY TYPE
(as a percent of portfolio)



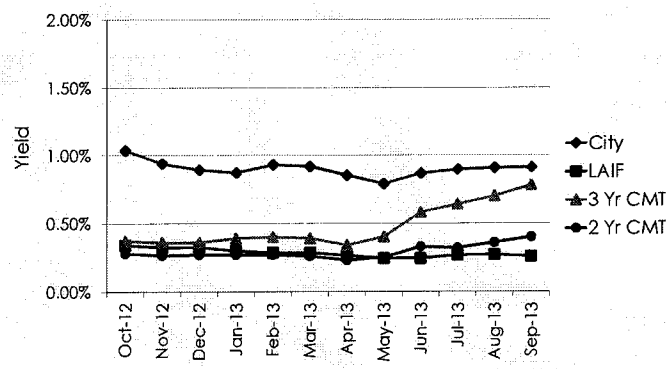
Graph 1

AGING OF MATURING INVESTMENTS



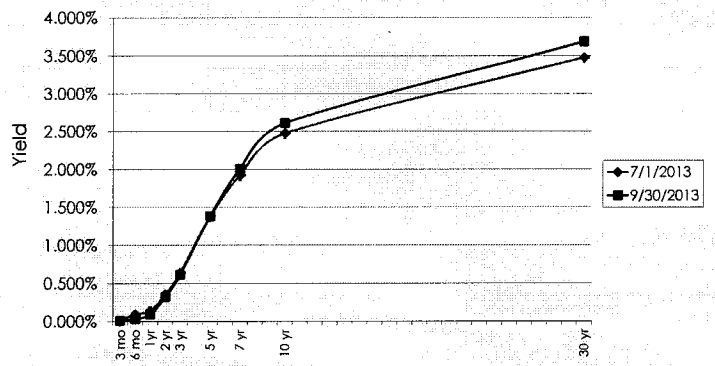
Graph 2

INVESTMENT PORTFOLIO YIELD COMPARISON
CITY, LAIF, 2 & 3 YEAR CMT



Graph 3

HISTORICAL YIELD CURVE COMPARISON
7/1/13 to 9/30/13



Graph 4

CITY OF OCEANSIDE

FUNDS HELD BY OUTSIDE MANAGEMENT

AS OF SEPTEMBER 30, 2013

CITY OF OCEANSIDE
FUNDS HELD BY OUTSIDE MANAGEMENT
AS OF SEPTEMBER 30, 2013

TYPE OF FUND/INSTITUTION	MARKET VALUE	INSTITUTION TOTAL
BOND FUNDS		
Bank of New York		
2002/2003 Tax Allocation Bonds	3,240,640.00	
2002 Community Facilities District Bonds - Ocean Ranch Corporate Centre	1,273,500.00	
2002 Community Facilities District Bonds - Morro Hills Development	2,474.09	
2004 Community Facilities District Bonds - Morro Hills Development Imp#1	745,054.04	
2004 Community Facilities District Bonds - Morro Hills Development	860,061.78	
2005 Pension Obligation Bonds	917,644.50	
2008 Community Facilities District Bonds-Pacific Coast Business Park	897,873.05	
2013 Certificates of Participation (2003 Refunding)	713,439.01	
2013 Sewer Revenue Refunding Bonds	1,019,880.31	
2013 Water Revenue Refunding Bonds	11,717,070.02	
2013A Community Facilities District Bonds - Morro Hills Development	576,243.01	
2013 Community Facilities District Bonds - Ocean Ranch Corporate Centre	1,129,552.50	
TOTAL FUNDS MANAGED BY OUTSIDE PARTIES	**$ 23,093,432.31**	